

Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 798G(1) — Amendment

1. Enabling legislation

I, Greg Yanco, with the written consent of the Minister, make the following instrument under subsection 798G(1) of the *Corporations Act 2001*.

Dated this 29 day of January 2014.

Signed by Greg Yanco
as a delegate of the Australian Securities and Investments Commission.

2. Title

This instrument is *ASIC Market Integrity Rules (Competition in Exchange Markets) Amendment 2014 (No. 1)*.

3. Commencement

This instrument commences on the day after the day on which this instrument is registered under the Legislative Instruments Act 2003.

Note: An instrument is registered when it is recorded on the Federal Register of Legislative Instruments (FRLI) in electronic form: see *Legislative Instruments Act 2003*, s 4 (definition of register). The FRLI may be accessed at <http://www.frli.gov.au/>.

4. Amendments

Schedule 1 amends the *ASIC Market Integrity Rules (Competition in Exchange Markets) 2011*.

Schedule 1 Amendments

[1] Paragraph 4A.2.1(1)(b)

omit

and whether the Crossing System transmits Orders to other Crossing Systems, or receives Orders from other Crossing Systems

[2] After paragraph 4A.2.1(1)(b)

insert

(ba) if applicable, the information required by item 5, column 3, of the Table in subrule 4A.3.1(2);

[3] Subrule 4A.3.1(2), Table item 5

omit the item, substitute

5	Aggregation and other Crossing Systems	<p>If Orders may be executed or matched in another Crossing System because they are transmitted by the Participant or by the Participant's Crossing System:</p> <ul style="list-style-type: none"> (a) to one or more other Crossing Systems or to a Participant that operates a Crossing System; or (b) to another person (an Aggregator) who further transmits the Orders to one or more other Crossing Systems or to a Participant that operates a Crossing System; or <p>if Orders may be executed or matched in the Participant's Crossing System with Orders received (whether directly, or via an Aggregator) from a Crossing System operated by another Participant, or from a Participant that operates a Crossing System:</p> <ul style="list-style-type: none"> (c) the code identifying the other Crossing System; (d) the legal name of the Participant that operates the other Crossing System; and (e) for each Crossing System and Participant identified under paragraphs (c) and (d), whether Orders are transmitted to, or received from the other Crossing System or Participant (whether directly, or via an Aggregator), or both.
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[4] After the Table in subrule 4A.3.1(2)

insert

Note: An Aggregator may be, for example, another Participant that receives orders and operates an aggregation algorithm that transmits received orders to one or more other execution venues (licensed markets, or other Crossing Systems).

[5] Paragraph 5A.1.1(1)(b)

omit

10 March

substitute

28 July