



ASIC

Australian Securities & Investments Commission

ASIC Corporations (Records: Dealings on Foreign Markets) Instrument 2016/889

I, Grant Moodie, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 19 September 2016

Grant Moodie

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Part 1—Preliminary

1 Name of legislative instrument

This is the *ASIC Corporations (Records: Dealings on Foreign Markets) Instrument 2016/889*.

2 Commencement

This instrument commences on the day after it is registered on the Federal Register of Legislation.

Note: The register may be accessed at www.legislation.gov.au.

3 Authority

This instrument is made under subsection 992B(1) of the *Corporations Act 2001*.

4 Definitions

In this instrument:

Regulations means the *Corporations Regulations 2001*.

Part 2—Exemption

5 Records of instructions to deal on foreign markets

A financial services licensee does not have to comply with regulation 7.8.19 of the Regulations in relation to an instruction to deal in financial products received by the licensee to which both of the following apply:

- (a) the instruction is from or on behalf of a wholesale client who is not in this jurisdiction;
- (b) the instruction is for a dealing through a financial market that is not operated in this jurisdiction.