



**ASIC**

Australian Securities & Investments Commission

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# **ASIC Corporations (Foreign Financial Services Providers—Limited Connection) Instrument 2017/182**

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## **About this compilation**

### **Compilation No. 1**

This is a compilation of *ASIC Corporations (Foreign Financial Services Providers—Limited Connection) Instrument 2017/182* as in force on 25 September 2018. It includes any commenced amendment affecting the legislative instrument to that date.

This compilation was prepared by the Australian Securities and Investments Commission.

The notes at the end of this compilation (the *endnotes*) include information about amending instruments and the amendment history of each amended provision.

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## **Part 1—Preliminary**

### **1 Name of legislative instrument**

This is the *ASIC Corporations (Foreign Financial Services Providers—Limited Connection) Instrument 2017/182*.

### **3 Cessation**

This instrument ceases to apply on 30 September 2019.

### **4 Authority**

This instrument is made under subsection 926A(2) of the *Corporations Act 2001*.

### **5 Definitions**

In this instrument:

*Act* means the *Corporations Act 2001*.

## **Part 2—Exemption**

### **6 Licensing**

- (1) A person that is carrying on a financial services business in this jurisdiction only because of section 911D of the Act does not have to comply with subsection 911A(1) of the Act in relation to the provision of a financial service to a wholesale client.
- (2) Subsection (1) does not apply to a person who holds an Australian financial services licence covering the provision of the financial service.

## Endnotes

### Endnote 1—Instrument history

Instrument number	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
2017/182	27/3/2017 (see F2017L00320)	28/3/2017	
2018/807	24/9/2018 (see F2018L01336)	25/9/2018	-

### Endnote 2—Amendment history

ad. = added or inserted am. = amended LA = *Legislation Act 2003* rep. = repealed rs. = repealed and substituted

Provision affected	How affected
Section 2	rep. s48D LA
Section 3	am. 2018/807