



ASIC

Australian Securities & Investments Commission

ASIC Corporations (Foreign Financial Service Providers—Limited Connection) Instrument 2017/182

I, Grant Moodie, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 22 March 2017

Grant Moodie

Contents

Part 1—Preliminary	3
1 Name of legislative instrument.....	3
2 Commencement	3
3 Cessation.....	3
4 Authority.....	3
5 Definitions	3
Part 2—Exemption	4
6 Licensing.....	4

Part 1—Preliminary

1 Name of legislative instrument

This is the *ASIC Corporations (Foreign Financial Services Providers—Limited Connection) Instrument 2017/182*.

2 Commencement

This instrument commences on the day after it is registered on the Federal Register of Legislation.

Note: The register may be accessed at www.legislation.gov.au.

3 Cessation

This instrument ceases to apply on 27 September 2018.

4 Authority

This instrument is made under subsection 926A(2) of the *Corporations Act 2001*.

5 Definitions

In this instrument:

Act means the *Corporations Act 2001*.

Part 2—Exemption

6 Licensing

- (1) A person that is carrying on a financial services business in this jurisdiction only because of section 911D of the Act does not have to comply with subsection 911A(1) of the Act in relation to the provision of a financial service to a wholesale client.
- (2) Subsection (1) does not apply to a person who holds an Australian financial services licence covering the provision of the financial service.