



**ASIC**

Australian Securities & Investments Commission

---

---

## **ASIC Market Integrity Rules (Futures Markets) Class Waiver 2018/312**

---

I, Anthony Graham, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 30 April 2018

Anthony Graham

---

## Contents

<b>Part 1—Preliminary</b>	<b>3</b>
1 Name of legislative instrument.....	3
2 Commencement .....	3
3 Authority.....	3
4 Interpretation.....	3
<b>Part 2—Waiver</b>	<b>4</b>
5 Waiver from requirements in paragraphs 2.2.1(1)(ab), (b), (c) and (e).....	4

## **Part 1—Preliminary**

### **1 Name of legislative instrument**

This is the *ASIC Market Integrity Rules (Futures Markets) Class Waiver 2018/312*.

### **2 Commencement**

This instrument commences on the later of:

- (a) 7 May 2018; and
- (b) the day after this instrument is registered on the Federal Register of Legislation.

Note: The register may be accessed at [www.legislation.gov.au](http://www.legislation.gov.au).

### **3 Authority**

This instrument is made under subrule 1.2.1(1) of the *ASIC Market Integrity Rules (Futures Markets) 2017 (Rules)*.

### **4 Interpretation**

In this instrument, unless the contrary intention appears, capitalised terms have the same meaning as in the Rules.

## Part 2—Waiver

### 5 Waiver from requirements in paragraphs 2.2.1(1)(ab), (b), (c) and (e)

A Principal Trader does not have to comply with paragraphs 2.2.1(1)(ab), (b), (c) (to the extent it relates to the limits determined in paragraphs (ab) and (b)) and (e) of the Rules where the Principal Trader is a Client of another Market Participant (the **Second Market Participant**) and the Second Market Participant either:

- (a) permits the Principal Trader to connect to a Terminal for the purposes of trading for the Principal Trader's House Account; or
- (b) enters Orders on the Principal Trader's House Account into the Trading Platform through the Second Market Participant's Order System.