![ASIC Australian Securities & Investments Commission]

ASIC Market Integrity Rules (Securities Markets) Determination 2018/314

I, Anthony Graham, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date   1 May 2018

Anthony Graham

Contents

Part 1—Preliminary

1   Name of legislative instrument

This is the *ASIC Market Integrity Rules (Securities Markets) Determination 2018/314*.

2   Commencement

This instrument commences on the day after it is registered on the Federal Register of Legislation.

Note: The register may be accessed at www.legislation.gov.au.

3   Authority

This instrument is made under subrules 3.4.3(4), 5A.2.1(5), 6.3.6A(4) and 7.4.4(3) of the *ASIC Market Integrity Rules (Securities Markets) 2017* (**Rules**).

4   Interpretation

(1)   In this instrument, unless the contrary intention appears, capitalised terms have the same meaning as in the Rules.

(2)   In this instrument, **ASX Centre Point** means the Order Book maintained by or on behalf of ASX and known as ASX Centre Point.

Part 2—Determination

5   Market identifier codes

For the purposes of subrules 3.4.3(4), 6.3.6A(4) and 7.4.4(3) (as that subrule relates to item 1 (Execution venue) of the table in Rule 7.4.4) of the Rules:

(a)   the code for identifying ASX TradeMatch is ASXT; and

(b)   the code for identifying ASX Centre Point is ASXC; and

(c)   the code for identifying the Chi-X Market is CHIA.

6   Crossing System codes

For the purposes of subrules 3.4.3(4), 5A.2.1(5), 6.3.6A(4) and 7.4.4(3) (as that subrule relates to item 1 (Execution venue) of the table in Rule 7.4.4) of the Rules, the code for identifying a Crossing System described in columns 2 and 3 of the table in Schedule 1 is the code specified in column 4 of the table.

Note: The execution venue for a Crossing is the Crossing System on which the Crossing is matched or executed.

7   Capacity of Participant

For the purposes of subrule 7.4.4(3) of the Rules, the notation referred to in item 2 (Capacity of Participant) of the table in Rule 7.4.4 for identifying that in relation to an order or transaction the Participant is acting:

(a)   as Principal—is "P"; and

(b)   as agent for a client—is "A"; and

(c)   as both Principal and agent for a client—is "M".

8   Directed wholesale indicator

For the purposes of subrule 7.4.4(3) of the Rules, the notation referred to in item 5 (Directed wholesale indicator) of the table in Rule 7.4.4 for indicating in relation to an order or transaction that:

(a)   paragraphs (a) and (b) of item 5 are both satisfied— is "Y"; and

(b)   paragraphs (a) and (b) of item 5 are not both satisfied—is "N".

Schedule 1—Crossing System codes

Crossing System codes

Column 1	Column 2	Column 3	Column 4
Item	**Operator of Crossing System**	**Crossing System name**	**Code**
1	Best Ex Pty Ltd	Block Event	2011
2	Citigroup Global Markets Australia Pty Ltd	Citi Match	2032
3	CLSA Australia Pty Ltd	Crossing Engine	2311
4	Credit Suisse Equities (Australia) Limited	CrossFinder	1101
5	Deutsche Securities Australia Limited	SuperX	2102
6	Goldman Sachs Australia Pty Ltd	Sigma X Australia	3611
7	Instinet Australia Pty Limited	BLX Australia	2171
8	ITG Australia Limited	POSIT	3451
9	J.P. Morgan Securities Australia Limited	JPM-X	2972
10	Liquidnet Australia Pty Ltd	[unnamed]	9991
11	Macquarie Securities (Australia) Limited	MAQX	1561
12	Macquarie Securities (Australia) Limited	MACB	1562
13	Morgan Stanley Australia Securities Limited	MS POOL	2991
14	State One Stock Broking Ltd	SOSL 1	6781
15	UBS Securities Australia Limited	UBS PIN	1501