

Corporations (Relevant Providers Degrees, Qualifications and Courses Standard) Determination 2018

Financial Adviser Standards and Ethics Authority Ltd, the standards body for Part 7.6 of the *Corporations Act 2001*, makes the following determination.

Dated 23 December 2018

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| The common seal of Financial Adviser Standards and Ethics Authority Ltd, affixed by authority of its directors and in the presence of:C WALTER Signature of director CATHERINE WALTER AM Name of director (block letters) | )))) | [Sealed]D KENT Signature of directorDEBORAH KENT Name of director (block letters) |

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1 Name

 This is the *Corporations (Relevant Providers Degrees, Qualifications and Courses Standard) Determination 2018*.

2 Commencement

 This determination commences the day after it is registered.

3 Authority

 This determination is made under subparagraph 921U(2)(a)(i) of the *Corporations Act 2001*.

4 Definitions

Note: ***Code of Ethics*** and ***relevant provider*** are defined in section 910A of the Act.

 In this determination:

***Act*** means the *Corporations Act 2001*.

5 Approvals of degrees and qualifications

 (1) For a relevant provider, each of the degrees and qualifications specified in an item in the following table is approved for the purposes of paragraph 921B(2)(a) of the Act.

 (2) If a degree or qualification does not include a course of study (an ***ethics course***) dealing with the Code of Ethics, the approval of the degree or qualification under subsection (1) for a relevant provider is subject to the condition that the relevant provider complete an ethics course specified in a determination under paragraph 1546B(1)(b) of the Act.

 (3) If a condition is specified in the table for an item, the approval of the degree or qualification under subsection (1) for a relevant provider is subject to the condition being satisfied.

| Item | Degrees and qualifications | Condition: the relevant provider commenced or commences the relevant program … | Other conditions: |
| --- | --- | --- | --- |
|  | **Part 1: Bachelor degrees** |  |  |
|  | ***Central Queensland University*** |  |  |
| 1 | Each of the following:(a) Bachelor of Property (Financial Planning);(b) Bachelor of Accounting (Financial Planning);(c) Bachelor of Business (Financial Planning). | between 1 November 2017 and 31 October 2020. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINC11001 Fundamentals of Personal Financial Planning;(b) FINC13001 Estate Planning;(c) FINC19011 Business Finance;(d) FINC19012 Investment Analysis and Risk Management;(e) FINC19016 Retirement and Superannuation;(f) FINC19019 Insurance Planning;(g) FINC19020 Financial Plan Construction;(h) LAWS11030 Foundations of Business Law;(i) LAWS19033 Taxation Law and Practice. |
| 2 | Bachelor of Business Commerce (Financial Planning). | during or after Semester 1, 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINC11001 Fundamentals of Personal Financial Planning;(b) FINC19011 Business Finance;(c) FINC19102 Investment Analysis and Risk;(d) FINC19014 Property Investment and Finance;(e) FINC19016 Retirement and Superannuation;(f) FINC13001 Estate Planning;(g) FINC19019 Insurance Planning;(h) FINC19020 Financial Plan Construction;(i) LAWS11030 Foundations of Business Law. |
| 3 | Bachelor of Business / Bachelor of Communication (Financial Planning). | during or after Semester 1, 2017. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINC11001 Fundamentals of Personal Financial Planning;(b) FINC19011 Business Finance;(c) FINC19102 Investment Analysis and Risk;(d) FINC19014 Property Investment and Finance;(e) FINC19016 Retirement and Superannuation;(f) FINC13001 Estate Planning;(g) FINC19019 Insurance Planning;(h) FINC19020 Financial Plan Construction;(i) LAWS11030 Foundations of Business Law. |
| 4 | Bachelor of Arts / Bachelor of Business (Financial Planning). | during or after Semester 1, 2017. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINC11001 Fundamentals of Personal Financial Planning;(b) FINC19011 Business Finance;(c) FINC19102 Investment Analysis and Risk;(d) FINC19014 Property Investment and Finance;(e) FINC19016 Retirement and Superannuation;(f) FINC13001 Estate Planning;(g) FINC19019 Insurance Planning;(h) FINC19020 Financial Plan Construction;(i) LAWS11030 Foundations of Business Law. |
| 5 | Bachelor of Accounting (Financial Planning). | during or after Semester 1, 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINC11001 Fundamentals of Personal Financial Planning;(b) FINC19011 Business Finance;(c) FINC19102 Investment Analysis and Risk;(d) FINC19014 Property Investment and Finance;(e) FINC19016 Retirement and Superannuation;(f) FINC13001 Estate Planning;(g) FINC19019 Insurance Planning;(h) FINC19020 Financial Plan Construction;(i) LAWS11030 Foundations of Business Law. |
| 6 | Bachelor of Accounting / Bachelor of Business (Financial Planning). | during or after Semester 1, 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINC11001 Fundamentals of Personal Financial Planning;(b) FINC19011 Business Finance;(c) FINC19102 Investment Analysis and Risk;(d) FINC19014 Property Investment and Finance;(e) FINC19016 Retirement and Superannuation;(f) FINC13001 Estate Planning;(g) FINC19019 Insurance Planning;(h) FINC19020 Financial Plan Construction;(i) LAWS11030 Foundations of Business Law. |
| 7 | Bachelor of Property (Financial Planning). | during or after Semester 1, 2017. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINC11001 Fundamentals of Personal Financial Planning;(b) FINC19011 Business Finance;(c) FINC19102 Investment Analysis and Risk;(d) FINC19014 Property Investment and Finance;(e) FINC19016 Retirement and Superannuation;(f) FINC13001 Estate Planning;(g) FINC19019 Insurance Planning;(h) FINC19020 Financial Plan Construction;(i) LAWS11030 Foundations of Business Law. |
| 8 | Bachelor of Financial Planning / Bachelor of Accounting. | during or after Semester 1, 2009 and before the end of Semester 1, 2015. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINC11001 Fundamentals of Personal Financial Planning;(b) FINC19011 Business Finance;(c) FINC19102 Investment Analysis and Risk;(d) FINC19014 Property Investment and Finance;(e) FINC19016 Retirement and Superannuation;(f) FINC13001 Estate Planning;(g) FINC19019 Insurance Planning;(h) FINC19020 Financial Plan Construction;(i) LAWS11030 Foundations of Business Law. |
| 9 | LLB / Bachelor of Business (Financial Planning). | during or after Semester 1, 2017. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINC11001 Fundamentals of Personal Financial Planning;(b) FINC19011 Business Finance;(c) FINC19102 Investment Analysis and Risk;(d) FINC19014 Property Investment and Finance;(e) FINC19016 Retirement and Superannuation;(f) FINC13001 Estate Planning;(g) FINC19019 Insurance Planning;(h) FINC19020 Financial Plan Construction;(i) LAWS11030 Foundations of Business Law. |
|  | ***Charles Sturt University*** |  |  |
| 10 | Bachelor of Business (Finance) with Financial Planning Joint Study (v1). | during or after Semester 1, 2012 and before the end of Semester 2, 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN331 Financial Planning;(b) FIN380 Superannuation;(c) LAW301 Taxation Law (Principles);(d) LAW302 Taxation Law (Issues);(e) LAW 110 Business Law;(f) FIN211 Financial Management;(g) FIN221 Investments;(h) LAW330 Finance Law;(i) FIN230 Financial Institutions and Markets;(j) MGT100 Organisations & Management;(k) FIN350 Strategic Financial Management;(l) MGT230 Ethics, Sustainability and Culture. |
| 11 | Bachelor of Business (Finance) with Financial Planning Joint Study (v2). | during or after Semester 1, 2015. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN331 Financial Planning;(b) FIN380 Superannuation;(c) LAW301 Taxation Law (Principles);(d) LAW302 Taxation Law (Issues);(e) LAW110 Business Law;(f) FIN211 Financial Management;(g) FIN221 Investments;(h) LAW330 Finance Law;(i) FIN230 Financial Institutions and Markets;(j) MGT100 Organisations & Management;(k) FIN350 Strategic Financial Management;(l) MGT230 Ethics, Sustainability and Culture. |
| 12 | Bachelor of Accounting (Financial Planning). | during or after Semester 1, 2012. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN331 Financial Planning;(b) FIN338 Superannuation;(c) LAW301 Taxation Law (Principles);(d) LAW302 Taxation Law (Issues);(e) LAW110 Business Law;(f) FIN211 Financial Management;(g) FIN221 Investments;(h) LAW220 Business Organisation Law;(i) FIN230 Financial Institutions and Markets;(j) MGT100 Organisations & Management;(k) FIN350 Strategic Management;(l) MGT230 Ethics, Sustainability and Culture. |
|  | ***Curtin University*** |  |  |
| 13 | Bachelor of Commerce (Economics & Financial Planning). | between 1 August 2008 and 31 August 2011. | N/A |
| 14 | Bachelor of Commerce (Accounting & Financial Planning).Note: Until March 2012, this course was named Bachelor of Commerce (major in financial planning). | on or after 1 August 2011. | The relevant provider completed or completes course 326 (Estate Planning) as part of the degree program. |
| 15 | Bachelor of Commerce Major in Financial Planning v1. | during or after Semester 2, 1999 and before the end of Semester 2, 2012. | The relevant provider completed the following courses of study as part of the degree program:(a) at least 1 of the following:(i) 11010 Law (Contract) 101;(ii) 311816 Applied Contract Law 200;(iii) 11011 Legal Framework 100 / Business Law 100;(b) either:(i) 12971 Financial and Securities Law 298; or(ii) 10959 Law (Finance) 254;(c) either:(i) 6798 Taxation 301; or(ii) 11041 Taxation 231;(d) 2807 Finance (Managerial) 212;(e) 12973 Estate Planning 326;(f) 12970 Finance (Personal) 220;(g) 9753 Finance (Portfolio Management) 301 / Portfolio Management 301;(h) 300958 Finance (Risk and Insurance) 309;(i) 300957 Finance (Plan and Construction) 319;(j) 12972 Superannuation and Retirement Planning 321. |
| 16 | Bachelor of Commerce Major in Financial Planning v2. | during or after Semester 2, 2000 and before the end of Semester 2, 2016. | The relevant provider completed the following courses of study as part of the degree program:(a) at least 1 of the following:(i) 311816 Applied Contract Law;(ii) 11010 Law (Contract) 101;(iii) BLAW1004 Business Law (offered from 2014 to 2016);(b) at least 1 of the following:(i) 6798 Taxation 301;(ii) 10041 Taxation 331;(iii) TAXA2000 Introduction to Australian Law (offered from 2014 to 2016);(iv)TAXA3007 Elementary Australian Tax Law (offered from 2014 to 2016);(c) either:(i) 2807 Finance (Managerial) 212; or(ii) FNCE2003 Managerial Finance (offered from 2014 to 2016);(d) either:(i) 12973 Estate Planning 326; or(ii) TAXA3006 Estate Planning (offered from 2014 to 2016);(e) either:(i) 12970 Finance (Personal); or(ii) FNCE2001 Personal Finance (offered from 2014 to 2016);(f) either:(i) 9753 Finance (Portfolio Management) 301 / Portfolio Management 301; or(ii) INVE3001 Portfolio Management (offered from 2014 to 2016);(g) either:(i) 300958 Finance (Risk and Insurance) 309; or(ii) FNCE3003 Personal Risk and Insurance (offered from 2014 to 2016);(h) either:(i) 300957 Finance (Plan and Construction) 319; or(ii) FNCE3002 Financial Plan Construction (offered from 2014 to 2016);(i) either:(i) 12972 Superannuation and Retirement Planning 321; or(ii) TAXA3005 Superannuation and Retirement (offered from 2014 to 2016). |
| 17 | Bachelor of Commerce Major in Financial Planning v3. | during or after Semester 2, 2009 and before the end of Semester 2, 2019. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) at least 1 of the following:(i) 11011 Legal Framework / Business Law;(ii) 11010 Law (Contract) 101;(iii) BLAW1004 Business Law (offered from 2014 to 2016);(iv)311816 Applied Contract Law;(b) at least 1 of the following:(i) 11041 Taxation 231;(ii) 6798 Taxation 301;(iii)10041 Taxation 331;(iv) TAXA2000 Introduction to Australian Law (offered from 2014 to 2016);(v) TAXA3007 Elementary Australian Tax Law (offered from 2014 to 2016);(c) either:(i) 2807 Finance (Managerial) 252 / Finance (Managerial) 212; or(ii) FNCE2003 Managerial Finance (offered from 2014 to 2016);(d) either:(i) 12973 Estate Planning 326; or(ii) TAXA3006 Estate Planning (offered from 2014 to 2016);(e) either:(i) 12970 Finance Personal 220 / Finance (Personal) 220; or(ii) FNCE2001 Personal Finance (offered from 2014 to 2016);(f) either:(i) 9753 Portfolio Management 301 / Finance (Portfolio Management) 301; or(ii) INVE3001 Portfolio Management (offered from 2014 to 2016);(g) either:(i) 300958 Personal Risk and Insurance / Finance (Risk and Insurance) 309; or(ii) FNCE3003 Personal Risk and Insurance (offered from 2014 to 2016);(h) either:(i) 300957 Finance (Plan and Construction) 319; or(ii) FNCE3002 Financial Plan Construction (offered from 2014 to 2016);(i) either:(i) 12972 Superannuation and Retirement Planning 321; or(ii) TAXA3005 Superannuation and Retirement (offered from 2014 to 2016);(j) either:(i) 10820 Financial Derivatives Securities; or(ii) INV3000 Introduction to Derivative Securities (offered from 2014 to 2016). |
| 18 | Bachelor of Commerce Major in Economics and Financial Planning. | during or after Semester 2, 1998 and before the end of Semester 2, 2016. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) at least 1 of the following:(i) 11010 Law (Contract) 101;(ii) 311816 Applied Contract Law 200;(iii)11011 Legal Framework 100 / Business Law 100;(b) either:(i) 12971 Financial and Securities Law 298; or(ii) 10959 Law (Finance) 254;(c) at least 1 of the following:(i) 6798 Taxation 301;(ii) 10041 Taxation 331;(iii)11041 Taxation 231;(iv)TAXA3005 Superannuation and Retirement (offered from 2014 to 2016);(d) either:(i) 2807 Finance (Managerial) 212; or(ii) FNCE2003 Managerial Finance (offered from 2014 to 2016);(e) either:(i) 12973 Estate Planning 326; or(ii) TAXA3006 Estate Planning (offered from 2014 to 2016);(f) either:(i) 12970 Finance (Personal) 220; or(ii) FNCE2001 Personal Finance (offered from 2014 to 2016);(g) either:(i) 9753 Finance (Portfolio Management) 301 / Portfolio Management 301; or(ii) INVE3001 Portfolio Management (offered from 2014 to 2016);(h) either:(i) 300958 Finance (Risk and Insurance) 309; or(ii) FNCE3003 Personal Risk and Insurance (offered from 2014 to 2016);(i) either:(i) 300957 Finance (Plan and Construction) 319; or(ii) FNCE3002 Financial Plan Construction (offered from 2014 to 2016);(j) either:(i) 12972 Superannuation and Retirement Planning 321; or(ii) TAXA3005 Superannuation and Retirement (offered from 2014 to 2016);(k) either: 1. Finance (Instruments and Markets) 211; or
2. FNCE3001 Introduction to Financial Instruments and Markets; or
3. Finance (Analysis) 206; or
4. FNCE2004 Introductory Business Financial Modelling

Note: These options varied in some versions of the course.(l) either:(i) Finance (Introductory) 201; or(ii) FNCE2000 Introduction to Finance Principles (offered from 2014 to 2016). |
| 19 | Bachelor of Commerce Major in Accounting and Financial Planning. | during or after Semester 1, 2001 and before the end of Semester 1, 2019. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) at least 1 of the following:(i) 10841 Law (Business Organisations) 222;(ii) 2844 Law (Corporation) 224;(iii)311816 Applied Contract Law 200;(iv)11011 Legal Framework 100 / Business Law;(b) either:(i) 12971 Financial and Securities Law 298; or(ii) 10959 Law (Finance) 254;(c) at least 1 of the following:(i) 10041 Taxation 331;(ii) 11041 Taxation 231;(iii)TAXA2000 Introduction to Australia Tax Law (offered from 2014 to 2017);(iv)TAXA3009 Taxation Planning (offered from 2014 to 2017);(d) at least 1 of the following:(i) 12607 Finance (Principles) 215; or(ii) 2806 Finance (Introductory) 201 (offered from 2014 to 2017); or(iii) FNCE2000 Introduction to Finance Principles (offered from 2014 to 2017);(e) either:(i) 12970 Finance (Personal) 220; or(ii) FNCE2001 Personal Finance (offered from 2014 to 2017);(f) either:(i) 2807 Finance (Managerial) 212; or(ii) FNCE2003 Managerial Finance (offered from 2014 to 2017);(g) either:(i) 12973 Estate Planning 326; or(ii) TAXA3006 Estate Planning (offered from 2014 to 2017);(h) either:(i) 9753 Finance (Portfolio Management) 301 / Portfolio Management 301; or(ii) INVE3001 Portfolio Management (offered from 2014 to 2017);(i) either:(i) 300958 Finance (Risk and Insurance) 309; or(ii) FNCE3003 Personal Risk and Insurance (offered from 2014 to 2017);(j) either:(i) 300957 Finance (Plan and Construction); or(ii) FNCE3002 Financial Plan Construction (offered from 2014 to 2017);(k) either:(i) 12972 Superannuation and Retirement Planning 321; or(ii) TAXA3005 Superannuation and Retirement (offered from 2014 to 2017). |
| 20 | Bachelor of Commerce (Finance and Financial Planning) | from December 2018 | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FNCE2000 Introduction to Finance Principles;(b) FNCE3001 Introduction to Financial Instruments and Markets;(c) FNCE2003 Business Analysis for Investment;(d) ECOM2001 Quantitative Techniques for Business;(e) either:(i) TAXA3007 Elementary Australian Tax Law; or(ii) TAXA2000 Introduction to Australian Tax Law;(f) FNCE3000 Corporate Finance;(g) FNCE2004 Introductory Business Financial Modelling;(h) FNCE2001 Personal Finance;(i) TAXA3005 Superannuation and Retirement Planning;(j) INVE3001 Portfolio Management;(k) FNCE3004 International Finance;(l) FNCE3003 Issues in Financial Planning Practice;(m) TAXA3006 Estate Planning;(n) INVE3000 Introduction to Derivative Securities;(o) BLAW2006 Company Law for Business;(p) ECON2007 Behavioural Economics and Finance;(q) FNCE3002 Financial Planning Internship (capstone). |
|  | ***Deakin University*** |  |  |
| 21 | Bachelor of Commerce (major in financial planning). | between 1 March 2008 and 27 March 2012. | N/A |
| 22 | Any bachelor degree. | between 1 December 2012 and 31 December 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) Fundamentals of Finance MAF101;(b) Money and Capital Markets MAF202;(c) Financial Planning MAF 255;(d) Superannuation Planning MAF 311;(e) Advanced Financial Planning MAF312;(f) Equities and Investment Analysis MAF 307;(g) Principles of Income Tax Law MLC 301;(h) either:(i) Business Law MLC101; or(ii) Law in Business MLC201. |
| 23 | Any bachelor degree. | on or after 1 January 2015. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) Building client relationships MAF 315/MAA 215;(b) Estate Planning MAA 319;(c) Fundamentals of Finance MAF101;(d) Money and Capital Markets MAF 202;(e) Financial Planning MAF 255/MAA 255;(f) Superannuation Planning MAF 311/MAA 317;(g) Advanced Financial Planning MAF 312/MAA 318;(h) Equities and Investment Analysis MAF 307;(i) Principles of Income Tax Law MLC 301;(j) Law of Commerce MLC101. |
| 24 | Bachelor of Commerce major in Financial Planning (v1). | during or after Semester 1, 2000 and before the end of Semester 2, 2012. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) MAF255 Financial Planning (previously “MAF309 Financial Planning”);(b) either:(i) MAF202 Money and Capital Markets; or(ii) MAF203 Business Finance;(c) MAF207 Equities and Investment Analysis (previously “MAF307 Equities and Investment Analysis”);(d) MAF311 Superannuation Planning;(e) MAF312 Advanced Financial Planning;(f) MLC301 Principles of Income Tax Law;(g) MLC101 Business Law. |
| 25 | Bachelor of Commerce major in Financial Planning (v2). | during and after Semester 1, 2013 and before the end of Semester 2, 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) MAF101 Fundamentals of Finance;(b) MAF202 Money and Capital Markets;(c) MAF255 Financial Planning;(d) MAF311 Superannuation Planning;(e) MAF312 Advanced Financial Planning;(f) MAF307 Equities and Investment Analysis;(g) MLC301 Principles of Income Tax Law;(h) MLC101 Business Law. |
| 26 | Either:(a) Bachelor of Commerce major in Financial Planning (v3); or(b) any other Bachelor degree that included a major in any of the courses listed under “Other conditions” for this item | during and after Semester 1, 2015. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) MAF315 Building Client Relationships (previously “MAA215 Building Client Relationships”);(b) MAA319 Estate Planning;(c) MAF101 Fundamentals of Finance;(d) MAF202 Money and Capital Markets;(e) MAF255 Financial Planning (previously “MAA225 Financial Planning”);(f) MAF311 Superannuation Planning (previously “MAA317 Superannuation Planning”);(g) MAF312 Advanced Financial Planning (previously “MAA318 Advanced Financial Planning”);(h) MAF307 Equities and Investment Analysis;(i) MLC301 Principles of Income Tax Law;(j) MLC101 Law for Commerce. |
|  | ***Griffith University*** |  |  |
| 27 | Each of the following:(a) Bachelor of Commerce (Financial Planning);(b) Bachelor of Commerce (Professional) Financial Planning;(c) Bachelor of Commerce (Accelerated) Financial Planning. | during or after 2008 and before the end of 2013. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) 1202AFE Financial Planning 1;(b) 2213AFE Financial Planning 2;(c) 2105AFE Introduction to Business Law;(d) 2202AFE Risk Management and Insurance;(e) 3204AFE Retirement and Estate Planning;(f) 3106AFE Revenue Law: Theory and Policy in Action;(g) 3108AFE Taxation Planning;(h) 3215AFE Contemporary Issues in Financial Planning;(i) 3202AFE Financial Planning, Construction and Review. |
| 28 | Bachelor of Commerce (Financial Planning). | during or after 2011 | N/A |
| 29 | Bachelor of Commerce in Financial Planning and Investments. | during or after 2004 and before the end of 2008. | N/A |
|  | ***La Trobe University*** |  |  |
| 30 | Any bachelor degree. | between 1 March 2009 and 30 June 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) ACCIAMD Accounting for Management Decisions;(b) FIN2IFP Introduction to Financial Planning;(c) FIN1FOF Fundamentals of Finance;(d) FIN2IRP Insurance and Risk Planning;(e) FIN3PRE Principles of Retirement and Estate Planning;(f) ACC3TAX Taxation;(g) FIN3IPM Investment and Portfolio Management;(h) FIN3CFP Case Studies in Financial Planning. |
|  | ***Queensland University of Technology*** |  |  |
| 31 | Bachelor of Business (Financial Planning) 9 Unit Specialisation. | after 1 September 2018 and before 30 September 2019. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) EFB210 Finance 1;(b) BSB111 Business Law and Ethics;(c) AYB219 Taxation Law;(d) AYB240 Superannuation and Retirement Planning;(e) AYB232 Financial Services Regulation and Law;(f) AYB250 Personal Financial Planning;(g) EFB227 Insurance, Risk Management and Estate Planning;(h) EFB345 Managing Investments and Client Relationships;(i) AYB346 Financial Planning Construction (Capstone). |
|  | ***Royal Melbourne Institute of Technology*** |  |  |
| 32 | Bachelor of Business (Economics and Finance). | on or after 1 February 2007 and before 28February 2013. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) Superannuation and Retirement Planning;(b) Insurance & Social Security;(c) Financial Planning Practice Management. |
| 33 | Bachelor of Business (Financial Planning), provided through the Open Universities Program. | on or after 1 February 2010. | N/A |
| 34 | BP314 Bachelor of Business (Financial Planning), provided through the Open Universities Program.(3 year degree provided on Melbourne campus). | during or after Semester 1, 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BAFI1014 Personal Wealth Management (previously “FNP11 Introduction to Financial Planning”);(b) BAFI1002 Financial Markets;(c) ACCT2286 Superannuation and Retirement;(d) LAW2457 Law of Investments and Financial Markets;(e) ACCT2287 Risk, Insurance and Social Security;(f) ACCT2285 Wealth Creation and Estate Planning;(g) BAFI1042 Investment;(h) ACT2288 Financial Advisory Practice;(i) LAW2442 Commercial Law;(j) BAFI1008 Business Finance;(k) LAW2453 Taxation 1. |
| 35 | BP313 Bachelor of Business (Financial Planning) / Bachelor of Business (Accountancy), provided through Open Universities Program.(4 year degree). | during or after Semester 1, 2017. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BAFI1014 Personal Wealth Management;(b) BAFI1002 Financial Markets;(c) ACCT2286 Superannuation and Retirement; (d) LAW2457 Law of Investments and Financial Markets;(e) ACCT2287 Risk, Insurance and Social Security;(f) ACCT2285 Wealth Creation and Estate Planning;(g) BAFI1042 Investment;(h) ACT2288 Financial Advisory Practice;(i) LAW2442 Commercial Law;(j) BAFI1008 Business Finance;(k) LAW2453 Taxation 1. |
| 36 | Bachelor of Business (Economics and Finance). | during or after Semester 1, 1996. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) EF241 / BAFI1014 Personal Financial Management;(b) EF150 / BAFI1002 Financial Markets;(c) EF240 / BAFI1008 Business Finance;(d) EF261 / BAFI1032 Superannuation & Retirement Planning 1;(e) EF262 / BAFI1034 Risk Management and Insurance;(f) EF462 / BAFI1042 Investment and Portfolio Management / Investment;(g) EF461 / BAFI1050 Wealth Creation and Estate Planning(also known as “Superannuation & Retirement Planning 2”);(h) EF469 / BAFI1056 Financial Planning Practice Management;(i) BL304 / JUST1037 /BL208 Law of Finance and Securities / Law of Investments & Financial Markets;(j) BL202 / JUST1031 Taxation 1. |
| 37 | Bachelor of Business (Financial Planning). | during or after Semester 1, 1996 and before the end of Semester 2, 2002. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) EF241 Personal Financial Management;(b) EF150 Financial Markets;(c) EF240 Business Finance;(d) EF261 Superannuation & Retirement Planning 1;(e) EF262 Risk Management and Insurance;(f) EF462 Investment and Portfolio Management;(g) EF461 Wealth Creation and Estate Planning;(h) EF469 Financial Planning Practice Management;(i) BL304 / JUST1037 / BL208 Law of Finance and Securities / Law of Investments & Financial Markets;(j) BL202 Taxation 1. |
| 38 | Bachelor of Business (Financial Planning) (v2). | during or after Semester 1, 2003. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BAFI1014 Personal Wealth Management;(b) BAFI1002 Financial Markets;(c) BAFI1008 Business Finance;(d) BAFI1032 / ACCT2286 Superannuation and Retirement Planning 1 / Superannuation and Retirement;(e) BAFI1034 / ACCT2287 Insurance and Social Security/ Risk, Insurance and Social Security;(f) BAFI1043 Investment;(g) BAFI1050 / ACC2287 Wealth Creation & Preservation / Wealth Creation & Estate Planning;(h) LAW2457 Law of Investments & Financial Markets;(i) JUST1031 / LAW2453 Taxation 1;(j) BAFI1056 / ACCT2288 Financial Planning Practice Management/ Financial Advisory Practice. |
| 39 | BP 135 Bachelor of Business (Financial Planning), provided through Open Universities Australia. | during or after Semester 1, 2006 and before the end of Semester 2, 2017. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FNP11 Personal Wealth Management (previously “Introduction to Financial Planning”);(b) FNP12 Financial Markets;(c) FNP21 Superannuation and Retirement Planning;(d) FNP22 Law of Investments and Financial Markets;(e) FNP23 Insurance and Social Security;(f) FNP31 Wealth Creation and Preservation / Wealth Creation and Estate Planning;(g) FNP32 Investment and Portfolio Management/Investment;(h) FNP34 Financial Advisory Practice / Financial Planning Practice Management;(i) BLW14 Business Law (provided through Curtin University);(j) BAN23 Introduction to Finance (provided through Curtin University;(k) BLW22 / BLW32 Taxation (provided through Curtin University);(l) Introduction to Australian Tax Law. |
| 40 | Bachelor of Business (Financial Planning) provided through Open Universities Australia. | during or after Semester 1, 1997. | The relevant provider completed or completes the following courses of study as part of the degree program: (a) BLW32 Introduction to Australian Tax Law or LAW2456 Taxation;(b) BAN23 Introduction to Finance or BAFI3183 Business finance;(c) FNP12 / BAFI2112 Financial Markets;(d) FNP11 / BAFI2040 Personal Wealth Management;(e) FNP32 / BAFI2042 Investment;(f) FNP31 / ACCT2261 Wealth Creation & Estate Planning;(g) FNP22 / LAW2458 Law of Investment & Financial Markets;(h) FNP21 / ACCT2262 Superannuation & Retirement;(i) FNP34 / ACCT2264 Financial Advisory Practice;(j) FNP23 / ACCT2263 Risk, Insurance and Social Security;(k) BLW14 Business Law;(l) LAW2442 Commercial Law. |
|  | ***Swinburne University of Technology*** |  |  |
| 41 | Each of the following:(a) Bachelor of Business with a major in Financial Planning;(b) Bachelor of Business with a professional major in Accounting and Financial Planning;(c) Bachelor of Business (Professional) with a major in Financial Planning;(d) Bachelor of Business (Professional) with a professional major in Accounting and Financial Planning. | between 1 February 2018 and 31 January 2021. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) Economic Principles ECO10004;(b) Principles of Financial Planning FIN10003;(c) Law of Commerce LAW20019;(d) Financial Management FIN20014;(e) Ethics and Client Relationships FIN20015;(f) Investment and Financial Planning Project FIN30017;(g) Management of Personal Financial Risk FIN30018;(h) Retirement and Estate Planning FIN30019;(i) Taxation ACC30005. |
|  | ***New South Wales Technical and Further Education Commission(TAFE NSW)*** |  |  |
| 42 | Bachelor of Applied Commerce majoring in financial planning.Note: Until July 2017 this course was named “Bachelor of Applied Finance (Financial Planning)”. | between 1 January 2012 and 30 June 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) ACBUS104A / ACFIN101A Finance and Investment;(b) ACBUS101A / ACFIN102A Financial Planning Fundamentals;(c) ACFIN201A Psychology of Client Engagement;(d) ACFIN202A Insurance Planning;(e) ACBUS203A Income Tax Law;(f) ACFIN301A Superannuation and Retirement;(g) ACFIN302A Investment Analysis;(h) ACFIN303A Estate Planning;(i) ACBUS302A Applied Income Tax;(j) ACFIN304A Financial Plan Construction;(k) ACBUS201A Commercial Law 1;(l) ACBUS202A Commercial Law 2;(m)ACBUS108A / ACBUS104A Applied Economics;(n) ACBUS303A Internship. |
|  | ***University of Canberra*** |  |  |
| 43 | Each of the following:(a) Bachelor of Commerce (Financial Planning major);(b) Bachelor of Finance (Financial Planning major). | on or after 1 September 2010. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) 1182 Introduction to Personal Financial Planning (previously “9987 Introduction to Personal Financial Planning”);(b) 11327 Financial Plans and Risk Management (previously “9986 Financial Plans and Risk Management”);(c) 11229 Superannuation, Retirement & Estate Planning (previously “9988 Superannuation Retirement & Estate Planning”);(d) 11215 Business Finance (previously “6492 Business Finance”);(e) 11230 Investment & Portfolio Analysis (previously “6378 Investments”);(f) 11221 Revenue Law (previously “6417 Revenue Law”);(g) 11220 Business Law;(h) 11328 Financial Institutions & Markets (previously “6386 Financial Institutions & Markets”);(i) 4071 Law of Financial Institutions and Services. |
|  | ***University of New England*** |  |  |
| 44 | Each of the following:(a) Bachelor of Financial Services and Bachelor of Laws;(b) Bachelor of Financial Services.Note: These courses ceased to admit new students from Trimester 1, 2015. | on or after 1 July 2011 and before 30 June 2014. | **1.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) either:(i) Financial Planning and Wealth Management (FIN102/2016 FIN 200); or(ii) Financial Planning AFM302 (first offered in 2017);(b) Superannuation and Retirement; either:(i) Retirement (FIN202); or (ii) AFM367 Superannuation and Retirement (first offered in 2017).**2.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) Investment Management in Financial Planning (FIN103/2016 FIN303);(b) Risk Management and Insurance (FIN201/2016 FIN304);(c) Developing the Financial Plan (FIN301/2016 FIN305);or, from 2017, for each of these, any other substitute courses from a degree or qualification covered by any of items 44 to 49 in this table.Note: The courses mentioned by name in condition 2 are no longer offered. |
| 45 | Bachelor of Accounting (Financial Planning major).Note: This major ceased to admit new students from Trimester 1, 2017. It was only offered in 2016. | during 2016. | **1.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) AFM231 Corporate Finance;(b) FIN101 Introductory Finance;(c) LSSU391 Introduction to Business Law;(d) LSSU391 Principles of Corporation Law;(e) LSSU392 Principles of Taxation Law;(f) FIN102 Financial Planning and Wealth Management;(g) FIN202 Superannuation and Retirement.**2.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN103 Investment Management in Financial Planning;(b) FIN301 Developing the Financial Plan;(c) FIN201 Risk Management and Insurance;or, from 2017, for each of these, any other substitute courses from a degree or qualification covered by any of items 44 to 49 in this table.Note: The courses mentioned by name in condition 2 are no longer offered.  |
| 46 | Bachelor of Business (Financial Planning major).Note: This course ceased to admit new students from Trimester 1, 2017. It was only offered in 2016. | during 2016. | **1.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN101 Introductory Finance;(b) MM202 International Business;(c) AFM231 Corporate Finance;(d) FIN200 Financial Planning and Wealth Management;(e) FIN302 Superannuation and Retirement;(f) LSSU392 Principles of Taxation Law;(g) LSSU251 Introduction to Business.**2.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN303 Investment Management in Financial Planning;(b) FIN304 Risk Management and Insurance;(c) FIN305 Developing the Financial Plan;or, from 2017, for each of these, any other substitute courses from a degree or qualification covered by any of items 44 to 49 in this table.Note: The courses mentioned by name in condition 2 are no longer offered. |
| 47 | Bachelor of Financial Services.Note: This course ceased to admit new students from Trimester 1, 2017. It was only offered in 2016. | during or after Semester 1, 2011 and before the end of Trimester 3, 2014. | **1.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) AFM231 Corporate Finance;(b) AFM232 Financial Instruments and Markets;(c) AFM332 Security Analysis and Portfolio Management;(d) FIN101 Introductory Finance;(e) FIN102 Financial Planning and Wealth Management;(f) FIN202 Superannuation and Retirement;(g) MM#22 Business Ethics, Globalisation and Sustainability;(h) LSSU392 Principles of Taxation Law;(i) LSSU251 Introduction to Business Law.**2.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN103 Investment Management in Financial Planning;(b) FIN201 Risk Management and Insurance;(c) FIN301 Developing the Financial Plan;or, from 2017, for each of these, any other substitute courses from a degree or qualification covered by any of items 44 to 49 in this table.Note: The courses mentioned by name in condition 2 are no longer offered. |
| 48 | Each of the following:(a) Bachelor of Business;(b) Bachelor of Accounting. | on or after 1 January 2016. | N/A |
| 49 | Bachelor of Business and Bachelor of Laws. | commencing 2016 only | **1.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) Corporate Finance AFM231;(b) Financial Planning and Wealth Management FIN200 from 2017, named “Financial Planning AFM302”;(c) Superannuation and Retirement FIN302 from 2017, named “Superannuation and Retirement AFM367”;(g) Principles of Taxation Law LSSU392;(h) Introduction to Business Law LSSU251.**2.** The relevant provider completed or completes the following courses of study as part of the degree program:(d) Investment Management in Financial Planning FIN303;(e) Risk Management in Financial Planning FIN304;(f) Developing the Financial Plan FIN305;or, from 2017, for each of these, any other substitute courses from a degree or qualification covered by any of items 44 to 48 in this table.Note: The courses mentioned by name in condition 2 are no longer offered. |
|  | ***University of South Australia*** |  |  |
| 50 | Bachelor of Business (Financial Planning) (DBRF) | during or after March 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BANK 1002 Personal Financial;(b) BANK 2008 Introduction to Financial Planning;(c) ECON 1007 Macroeconomics;(d) COML 2005 Companies and Partnership Law;(e) BANK 3004 Portfolio and Fund Management;(f) ACCT 3002 Taxation Law 1;(g) BANK 3014 Superannuation;(h) BANK 3013 Risk Management and Insurance;(i) BANK 3012 Estate Planning; (j) BANK 3005 Applied Financial Planning. |
| 51 | Bachelor of Business with a major in Financial Planning and Bachelor of Business (Financial Planning) offered Online. (XBFP) | during or after March 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BANK 1010 UO Personal Financial;(b) BANK 2011 UO Introduction to Financial Planning;(c) ECON 1011 UO Macroeconomics;(d) COML 2020 UO Companies and Partnership Law;(e) BANK 3017 UO Portfolio and Fund Management;(f) ACCT 3016 UO Taxation Law 1;(g) BANK 3019 UO Superannuation;(h) BANK 3018 UO Risk Management and Insurance;(i) BANK 3016 UO Estate Planning;(j) BANK 3015 UO Applied Financial Planning. |
| 52 | Bachelor of Applied Finance (DBBF). | during or after Semester 1, 2004 and before the end of Semester 2, 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BANK3005 Applied Financial Planning;(b) BUSS1057 Business and Society;(c) BANK2007 Business Finance;(d) COML1001 Foundations of Business Law;(e) BUSS1058 Communication and Information Systems in Business;(f) BANK3009 Corporate Valuation and Risk Management;(g) BANK1005 Derivatives and Securities Market;(h) BANK2008 Financial Planning;(i) ECON1007 Macroeconomics;(j) BUSS1054 Management Principles;(k) BANK3011 International Currency and Banking Markets;(l) BANK3004 Portfolio and Fund Management. |
| 53 | Bachelor of Business (Finance) (DBCF). | during or after Semester 1, 2015 and before the end of Semester 2, 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BANK3005 Applied Financial Planning;(b) BUSS1057 Business and Society;(c) BANK2007 Business Finance;(d) LAWS1018 Business Law;(e) BANK3009 Corporate Valuation and Risk Management;(f) BANK1005 Derivatives and Securities Market;(g) BANK2008 Financial Planning and Personal Finance;(h) ECON1007 Macroeconomics;(i) BUSS1054 Management Principles;(j) BANK3011 International Currency and Banking Markets;(k) BANK3004 Portfolio and Fund Management. |
| 54 | Bachelor of Business (Finance) (OBBF) Off-shore program. | during or after Semester 1, 2004 and before the end of Semester 2, 2012. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BUSS1057 Business and Society;(b) BUSS1058 Communication and Information Systems in Business;(c) BANK3009 Corporate Valuation and Risk Management;(d) BANK1005 Derivatives and Securities Market;(c) BANK2007 Business Finance;(f) BANK2008 Financial Planning and Personal Finance;(g) COML1001 Foundations of Business Law;(h) BANK3011 International Currency and Banking Markets;(i) BUSS1054 Management Principles;(j) BANK3004 Portfolio and Fund Management;(k) ECON1007 Macroeconomics. |
| 55 | Bachelor of Commerce / Bachelor of Applied Finance (DBCB). | during or after Semester 1, 2011 and before the end of Semester 2, 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BANK3005 Applied Financial Planning;(b) BUSS1057 Business and Society;(c) BANK2007 Business Finance;(d) COML2005 Companies and Partnership Law;(e) BANK3003 Corporate Valuation and Risk Management;(f) BANK1005 Derivatives and Securities Market;(g) BANK2008 Financial Planning and Personal Finance;(h) COML1001 Foundations of Business Law;(i) BANK3011 International Currency and Banking Markets;(j) ACCT3002 Taxation Law 1 (TPB Accredited);(k) BANK3004 Portfolio and Fund Management; (l) BUSS1054 Management Principles;(m)ECON1007 Macroeconomics. |
| 56 | Bachelor of Commerce / Bachelor of Applied Finance (DBCD). | during or after Semester 1, 2015 and before the end of Semester 2, 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BANK3005 Applied Financial Planning;(b) BUSS1057 Business and Society;(c) BANK2007 Business Finance;(d) COML2005 Companies and Partnership Law;(e) BANK3003 Corporate Valuation and Risk Management;(f) BANK1005 Derivatives and Securities Market;(g) BANK2008 Financial Planning and Personal Finance;(h) LAWS1008 Business Law;(i) BANK3011 International Currency and Banking Markets;(j) ACCT3002 Taxation Law 1 (TPB Accredited);(k) BANK3004 Portfolio and Fund Management;(l) BUSS2068 Management and Organisation;(m)ECON1007 Macroeconomics. |
|  | University of Southern Queensland |  |  |
| 57 | Bachelor of Commerce (Personal Financial Planning). | during or after Semester 2, 2008 and before the end of Semester 1, 2012. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN1103 Financial Markets;(b) FIN2105 Portfolio Management;(c) FIN2106 Personal Financial Planning;(d) FIN5414 Managed Investments;(e) FIN5415 Superannuation and Retirement Planning;(f) FIN5416 Insurance Markets and Products;(g) LAW1101 Introduction to Law;(h) LAW2106 Law of Business Organisations;(i) LAW3130 Revenue Law and Practice;(j) ACC1101 Accounting for Decision Making. |
|  | ***University of the Sunshine Coast*** |  |  |
| 58 | Bachelor of Commerce.Note: Until 2012, named Bachelor of Commerce (Financial Planning). | between 1 January 2010 and 31 August 2018. | N/A |
| 59 | Bachelor of Commerce (Financial Planning).Note: Until Semester 1, 2009 this course was named Bachelor of Business – Financial Planning. | during or after Semester 1, 2005 and before the end of Semester 2, 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BUS203 Business Law and Ethics (previously “BUS103 Business Law and Ethics”);(b) BUS320 Corporate Governance & Social Responsibility;(c) FIN210 Introduction to Financial Planning;(d) FIN220 Retirement and Superannuation;(e) FIN221 Insurance and Risk Management;(f) FIN310 Personal Investment Management;(g) FIN320 Tax and Estate Planning;(h) FIN321 Financial Plan Construction;(i) ACC211 Business Finance;(j) ACC311 Taxation Law and Practice. |
|  | ***The University of Wollongong*** |  |  |
| 60 | Bachelor of Commerce (Financial Planning). | at any time after 1 September 2008. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) LAW101 Tax, Business and Society;(b) ACCY228 Taxation for Financial Planners;(c) FIN223 Investment Analysis;(d) FIN251 Introduction to Financial Planning (previously “FIN252 Personal Finance”);(e) FIN320 Risk and Insurance;(f) FIN323 Portfolio Analysis;(g) FIN328 Retirement and Estate Planning;(h) FIN329 Advanced Financial Planning. |
| 612 | Each of the following:(a) Bachelor of Mathematics and Finance (Honours) Major in Financial Planning;(b) Bachelor of Mathematics and Finance (Honours) (Dean’s Scholar) Major in Financial Planning. | during or after 1 September 2011 and ongoing. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) LAW101 Tax, Business and Society;(b) ACCY228 Taxation for Financial Planners;(c) FIN223 Investment Analysis;(d) FIN251 Introduction to Financial Planning (previously “FIN252 Personal Finance”);(e) FIN320 Risk and Insurance;(f) FIN328 Retirement and Estate Planning;(g) FIN329 Advanced Financial Planning. |
|  | ***Victoria University*** |  |  |
| 62 | Bachelor of Business (Financial Risk Management). | between 1 September 2008 and 31 December 2013. | N/A |
| 63 | Bachelor of Business (Financial Risk Management). | during or after Semester 2, 2004 and before the end of Semester 2, 2016. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BAO2441 Personal Financial Planning;(b) BEO2301 Risk Management and Insurance;(c) BLO1105 Business Law;(d) BLO2206 Taxation Law and Practice;(e) BEO2431 Risk Management Models;(f) BAO3403 Investment and Portfolio Management;(g) BEO3347 Planning for Long Term Wealth Creation. |
| 64 | Bachelor of Business (Financial Planning). | during or after Semester 2, 2013 and before the end of Semester 2, 2016. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BAO2441 Personal Financial Planning;(b) BAO3307 Corporate Finance;(c) BEO240 Risk Management and Insurance;(d) BLO2206 Taxation Law and Practice; (e) BAO3318 Superannuation and Retirement Planning;(f) BAO3403 Investment and Portfolio Management; (g) BEO3347 Planning for Long Term Wealth Creation;(h) BLO1105 Business Law. |
|  | ***Western Sydney University*** |  |  |
| 65 | Bachelor of Financial Advising. | on or after 1 January 2006 and before 31 December 2013. | N/A. |
| 66 | Either of the following:(a) Bachelor of Accounting (Financial Planning);(b) Bachelor of Accounting (Financial Planning and Taxation). | on or after 1 January 2016. | The relevant provider completed or completes both specialisations. |
| 678 | Bachelor of Business (Accounting) with Financial Planning sub-major. | during or after Semester 2, 2003 and before the end of Semester 2, 2008. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) 200183 Law of Business Organisations;(b) 200184 Introduction to Business Law;(c) 200187 Taxation Law;(d) 200488 Corporate Financial Management;(e) COO302A Financial Planning (previously “200627 Financial Planning”);(f) CO21A Investments (previously “200819 Investments”);(g) 200272 Insurance Advising—Theory and Practice;(h) LAW309A Estate and Succession Planning (previously “200624 Estate and Succession Planning”);(i) LAW310A Retirement Planning (previously “200563 Retirement Planning”). |
| 68 | Bachelor of Business and Commerce (Accounting) with Financial Planning sub-major. | during or after Semester 2, 2005 and before the end of Semester 2, 2008. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) CO205A.1 Financial Statement Analysis;(b) 200272.1 Insurance Advising—Theory and Practice;(c) 200057.1 Investment Management;(d) LW309A.1 Estate and Succession Planning;(e) 200187.1 Taxation Law;(f) LW310A.1 Retirement Planning;(g) 200078.1 Portfolio Management;(h) CO302A.1 Financial Planning (v1). |
| 69 | Bachelor of Business (Applied Finance) Financial Planning Major. | during or after Semester 2, 2005 and before the end of Semester 2, 2008. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) CO205A.1 Financial Statement Analysis;(b) 200272.1 Insurance Advising – Theory and Practice;(c) 200057.1 Investment Management;(d) LW309A.1 Estate and Succession Planning;(e) 200187.1 Taxation Law;(f) LW310A.1 Retirement Planning;(g) 200078.1 Portfolio Management;(h) CO302A.1 Financial Planning (v1). |
| 70 | Bachelor of Accounting Financial Planning or Financial Planning and Taxation. | from December 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) Financial Services Law;(b) Insurance Advising Theory and Practice;(c) Retirement and Succession Planning;(d) Personal Asset Management;(e) Financial Planning;(f) Law of Commercial Obligations;(g) Self- Managed Superannuation and Trusts;(h) Advanced Taxation Law. |
|  | Part 2: Post-graduate degrees and equivalent qualifications |  |  |
|  | ***Australian Catholic University*** |  |  |
| 71 | Master of Finance. | between 1 February 2018 and 31 January 2021. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) LEGL601 Commercial and Corporations Law;(b) BAFN608 Financial Planning;(c) BAFN609 Risk Management and Insurance;(d) BAFN610 Responsible Investment Management;(e) LEGL602 Taxation Law;(f) BAFN612 Superannuation and Retirement Planning;(g) BAFN605 Estate Planning and Capstone Unit;(h) MGMT638 Ethical Leadership. |
|  | ***Charles Sturt University*** |  |  |
| 72 | Master of Applied Finance. | at any time after 1 October 2007 and before the end of Semester 1, 2019. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN560 Financial Planning;(b) FIN562 Risk Management and Insurance;(c) FIN563 Estate Planning;(d) FIN564 Superannuation and Retirement Planning;(e) FIN531 Investment Analysis;(f) LAW545 Taxation Strategies;(g) FIN530 Money and Capital Markets;(h) FIN516 Corporate Finance. |
| 73 | Master of Applied Finance (Financial Planning) (v1). | during or after Semester 2, 2003 and before the end of Semester 2, 2017. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN560 Financial Planning;(b) FIN516 Corporate Finance;(c) FIN531 Investment Analysis;(d) FIN562 Risk Management and Insurance;(e) FIN563 Estate Planning;(f) FIN564 Superannuation and Retirement Planning. |
| 74 | Master of Applied Finance with Studies in Financial Planning. | during or after Semester 2, 2003 and before the end of March 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN560 Financial Planning;(b) FIN562 Risk Management and Insurance;(c) FIN563 Estate Planning;(d) FIN564 Superannuation and Retirement Planning. |
| 75 | Master of Applied Finance (Financial Planning). | during or after Semester 2, 2017 and before the end of Semester 1, 2019. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN560 Financial Planning;(b) FIN516 Corporate Finance;(c) FIN531 Investment Analysis;(d) FIN562 Risk Management and Insurance;(e) FIN563 Estate Planning;(f) FIN564 Superannuation and Retirement Planning;(g) FIN572 Professional Ethics and Contemporary Financial Planning;(h) LAW523 Finance Law;(i) LAW545 Taxation Strategies. |
|  | ***Curtin University*** |  |  |
| 76 | Master of Financial Planning. | on or after 1 July 2004 and before 31 December 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) Finance Principles 515;(b) Finance Instruments and Markets 559;(c) Personal Finance 520;(d) Taxation 531;(e) Personal Risk and Insurance 509;(f) Estate Planning 526;(g) Superannuation and Retirement Planning 621;(h) Financial Plan Construction 519. |
| 77 | Master of Commerce (Financial Planning Major). | during or after Semester 1, 2004 and before the end of Semester 2, 2017. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) 13200 Finance Instruments and Markets (559);(b) 11945 Taxation (531);(c) 314711 Culture and Ethics in Business (500);(d) 13315 Superannuation and Retirement Planning (621);(e) either:(i) 301341 Personal Finance (520); or(ii) 305813 Finance Principles (515);(f) 12751 Portfolio Management (571);(g) 314269 Personal Risk and Insurance (509);(h) 301342 Estate Planning (526);(i) 12754 Financial Derivative Securities (574);(j) 301340 Financial Plan Construction (519). |
|  | ***Deakin University*** |  |  |
| 78 | Master of Wealth Management. | on or after 1 July 2004. | The relevant provider completed the relevant program by the end of 2011. |
| 79 | Master of Financial Planning. | on or after 1 January 2012 and before 31 December 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) MAF765/MAA745 Financial Planning & Analysis / Financial Planning Fundamentals;(b) MAF707 Investments and Portfolio Management;(c) MAF708/MAA719 Retirement Income Streams/Superannuation and Retirement Planning;(d) MLC703 Principles of Income Tax Law;(e) MLC707 Commercial and Corporations Law;(f) MAF709 / MAA727 Financial Planning Development;(g) MAF714/MAA728 Managing Client Relationships;(h) any of the following:(i) MAF715/MAA729 Estate Planning Strategies;(ii) MPS701/MAA746 Principles of Risk Management & Insurance;(iii) MAA700 Estate Planning and Risk Management Strategies. |
| 80 | Master of Financial Planning.Note: Until Semester 2, 2011 this course was named “Master of Wealth Management”. | during or after Semester 1, 2010 and before 31 December 2012 . | The relevant provider completed or completes the following courses of study as part of the degree program:(a) MAF765 Financial Planning & Analysis;(b) MAF702 Financial Markets;(c) MAF707 Investments and Portfolio Management;(d) MAF708 Retirement Income Streams;(e) MAF709 Financial Planning Development. |
|  | ***Griffith University*** |  |  |
| 81 | Master of Business Administration (Financial Planning). | during or after 2000 and before the end of 2006. | N/A |
| 82 | Master of Commerce (Financial Planning). | during or after 2006 and before 31 October 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) 7303AFE Economics;(b) 7202AFE Financial Planning;(c) 7203AFE Corporate Financial Risk Management;(d) 7151AFE Income Tax for Financial Planning;(e) 7108AFE Taxation Planning;(f) 7214AFE Retirement and Estate Planning;(g) 7232AFE Investment Analysis;(h) 7208AFE Financial Planning, Construction and Review. |
| 83 | Master of Financial Planning. | at any time after 1 October 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) 7254AFE/7818AFE Financial Planning Fundamentals;(b) 7256AFE/7819AFE Personal Risk Management;(c) 7223AFE/7803AFE Financial Markets;(d) 7232AFE/7801AFE Investments;(e) 7106AFE/7817AFE Income Tax Law;(f) 7161AFE/7822AFE Applied Taxation;(g) 7159AFE/7823AFE Principles of Business & Corporations Law;(h) either:(i) 7255AFE/7820AFE Applied Financial Planning; or(ii) 7259AFE Financial Planning Skills; (i) 7246AFE/7812AFE Behavioural Finance & Wealth Management;(j) 7214AFE/7821AFE Retirement and Estate Planning;(k) either:(i) 7250AFE/7814AFE Professionalism in Financial Services; or(ii) 7260AFE Financial Planning Practice together with the AMP / Ethics Centre program; (l) 7228AFE/7824AFE Case Studies in Financial Planning. |
| 84 | Master of Financial Planning. | during and after 2003 and before the end of 2006. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) 7202AFE Financial Planning;(b) 7232AFE Wealth Management;(c) 7208AFE Financial Planning, Construction & Review;(d) 7214AFE Retirement and Estate Planning. |
|  | ***Kaplan Higher Education Pty Limited*** |  |  |
| 85 | Master of Financial Planning. | after 1 January 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FPC001 Economic, Legal and Ethical Context for Financial Planning;(b) FPC002 Applied Financial Planning;(c) FPC003 Superannuation and Retirement Advice;(d) FPC004 Insurance Advice;(e) FPC005 Estate and Succession Planning;(f) FPC006 Tax and Commercial Law for Financial Planning;(g) FPC007 Client Engagement Skills;(h) FPC008 Investment Advice. |
| 86 | Master of Applied Finance (Financial Planning Major).  | during or after Semester 1, 2008. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN211 Financial Planning Fundamentals;(b) FIN212 Investment Products;(c) FIN213 Superannuation and Retirement Planning;(d) FIN214 Insurance, Succession and Estate Planning. |
| 87 | Graduate Diploma of Applied Finance (Financial Planning major). | at any time after 1 January 2008. | (a) The relevant provider also holds a Bachelor or a Master degree; and(b) the relevant provider completed the following courses of study as part of the degree or diploma program:(i) Financial Planning Fundamentals (FIN211);(ii) Investment Products (FIN212);(iii) Superannuation and Retirement Planning (FIN213);(iv) Insurance, Estate and Succession Planning (FIN214); and(c) the relevant provider completed the diploma program by December 2010. |
| 88 | Graduate Diploma of Financial Planning. | at any time after 1 January 2010. | (a) The relevant provider also holds a Bachelor or a Master degree; and(b) the relevant provider completed the following courses of study as part of the degree or diploma program:(i) Financial Planning Fundamentals (FIN211);(ii) Investment Products (FIN212);(iii) Superannuation and Retirement Planning (FIN213);(iv) Insurance, Estate and Succession Planning (FIN214). |
|  | ***La Trobe University*** |  |  |
| 89 | Any Masters degree. | between 1 March 2009 and 31 December 2014. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) Principles of Economics;(b) Principles of Finance;(c) Financial Planning;(d) Retirement and Estate Planning;(e) Case Studies in Financial Planning;(f) Portfolio Management;(g) Debt Securities;(h) Equity Securities. |
|  | ***Royal Melbourne Institute of Technology*** |  |  |
| 90 | Masters of Business (Financial Planning). | during or after Semester 1, 2002 and before the end of Semester 2, 2008. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) BAFI1096 Financial Planning Process;(b) BAFI1098 Applications in Financial Planning;(c) BAFI1097 Investment Concepts and Application;(d) JUST1075 Tax Strategies and Issues for Investors;(e) JUST1076 Legal and Professional Framework;(f) BAFI3156 Superannuation and Retirement Income;(g) BAFI3158 Insurance and Estate Planning. |
|  | ***Swinburne University of Technology*** |  |  |
| 91 | Each of the following:(a) Master of Financial Planning;(b) Master of Professional Accounting / Master of Financial Planning | during or after December 2018. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN60007 Elements of Financial Planning;(b) LAW60003 Corporations and Contract Law;(c) AC60008 Accounting Systems and Reporting;(d) FIN60008 Investment Decision Making;(e) FIN80024 Ethics and Client Management;(f) FIN80022 Insurance and Estate Planning;(g) FIN80023 Superannuation and Retirement Planning;(h) ACC80012 Taxation Principles and Planning;(i) ACC80008 Managerial Accounting;(k) FIN8005 Corporate Financial Management;(l) ECO8001 Economics;(m) FIN80025 Financial Advice Technology Project. |
|  | ***University of Adelaide*** |  |  |
| 92 | Master degrees with Financial Planning specialisation. | during or after Semester 1, 2006 and before the end of Semester 2, 2012. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) CORPFIN 6003 Tax, Estate and Wealth Planning;(b) CORPFIN 6005 Investment process and Client Relationship Management;(c) CORPFIN 6000 Industry Research Project;(d) CORPFIN 6004 Global Wealth Management. |
|  | ***University of New England*** |  |  |
| 93 | Each of the following:(a) Master of Financial Services;(b) Graduate Diploma (Financial Services).Note: These courses ceased to admit new students from Trimester1, 2017 | on or after 1 May 2011 and before 30June 2014. | N/A |
| 94 | Any graduate diploma. | on or after 1 May 2011 and before 30 June 2014. | (a) The relevant provider also holds a Bachelor or a Master degree; and(b) the relevant provider completed the following courses of study as part of the degree or diploma program:(i) Financial Planning and Wealth Management (GSB608);(ii) Investment Management in Financial Planning (GSB611);(iii) Risk Management and Insurance (GSB609);(iv) Superannuation and Retirement (GSB610);(v) Developing the Financial Plan (GSB612). |
| 95 | Master of Financial Services.Note: This program ceased to admit new student from Trimester 1, 2017. | on or after 1 December 2014 and before the end of Trimester 3, 2016. | **1.** The relevant provider completed the following courses of study as part of the degree program:(a) AFM465/AFM565 Financial Planning and Wealth Management;(b) AFM467 Superannuation and Retirement;(c) LSSU592 Taxation Law;(d) either:(i) LSSU450 Commercial Law 1: Principles of Australian Law; or(ii) LSSU591 Law of Commercial Associations;(e) AFM432/AFM442 Financial Instruments and Markets;(f) AFM532 Security Analysis and Portfolio Management.**2.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) AFM466 Risk Management and Insurance;(b) AFM468 Investment Management in Financial Planning;(c) AFM569 Developing the Financial Plan;or, from 2017, for each of these, any other substitute courses from a degree or qualification covered by any of items 94 to 98 in this table.Note: The courses mentioned by name in condition 2 are no longer offered. |
| 96 | Master of Financial Services (v1).Note This course ceased to admit new students from Trimester1, 2016. | during or after Semester 1, 2011 and before the end of Trimester 2, 2015. | **1.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) GSB607 Professional Ethics;(b) GSB608 Financial Planning and Wealth Management;(c) GSB610 Superannuation and Retirement.**2.** The relevant provider completed or completes the following courses of study as part of the degree program:(c) GSB609 Risk Management and Insurance;(e) GSB611 Investment Management in Financial Planning;(f) GSB612 Developing the Financial Plan.or, from 2017, for each of these, any other substitute courses from a degree or qualification covered by any of items 94 to 98 in this table.Note: The courses mentioned by name in condition 2 are no longer offered. |
| 97 | Master of Financial Services (v2).Note This course ceased to admit new students from Trimester 1, 2017 | during and after Trimester 1, 2015 and before the end of trimester 3, 2016. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) AFM442 Financial Instruments and Markets;(b) AFM467 Superannuation and Retirement;(c) AFM565 Financial Planning and Wealth Management;(d) AFM532 Security Analysis and Portfolio Management;(e) LSSU450 Commercial Law 1: Principles of Australian Law;(f) LSSU592 Taxation Law;(g) LSSU593 Advanced Tax Law;(g) MM467 Professional Ethics.**2.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) AFM466 Risk Management and Insurance;(b) AFM468 Investment Management in Financial Planning;(c) AFM569 Developing the Financial Plan\*;or, from 2017, for each of these, any other substitute courses from a degree or qualification covered by any of items 94 to 98 in this table.Note: The courses mentioned by name in condition 2 are no longer offered. |
| 98 | Master of Financial Services (v3).Note: This course ceased to admit new students from Trimester1, 2017 | at any time during 2016.  | **1.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) AFM442 Financial Instruments and Markets;(b) AFM467 Superannuation and Retirement;(c) AFM532 Security Analysis and Portfolio Management;(d) AFM565 Financial Planning and Wealth Management;(e) LSSU592 Taxation Law;(f) MM467 Professional Ethics;(g) LSSU593 Advanced Tax Law.**2.** The relevant provider completed or completes the following courses of study as part of the degree program:(a) AFM466 Risk Management and Insurance;(b) AFM468 Investment Management in Financial Planning;(c) AFM569 Developing the Financial Plan;or, from 2017, for each of these, any other substitute courses from a degree or qualification covered by any of items 94 to 97 in this table.Note: The courses mentioned by name in condition 2 are no longer offered. |
|  | ***University of New South Wales*** |  |  |
| 99 | Master of Financial Planning. | at any time after 1 October 2011. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FINS5510 Personal Financial Planning & Management;(b) FINS5531 Risk and Insurance;(c) FINS5513 Investments & Portfolio Selection;(d) ACTL5401 Retirement Planning;(e) either:(i) TABL5901 Tax Strategies in Financial Planning; or(ii) TABL5527 Tax Strategies in Financial Planning;(f) TABL5511 Legal Foundations of Business;(h) FINS5539 Estate Planning, Succession & Asset Protection;(i) FINS5512 Financial Markets and Institutions;(j) if the relevant provider commenced or commences the degree program at any time from 1 January 2014—the courses of study referred to in paragraphs (d) and (h). |
|  | ***University of South Australia*** |  |  |
| 100 | Master of Finance (Financial Planning) (DMFP). | at any time after May 2018. | The relevant provider completed or completes the following 8 standard courses:(a) Statistics for Data Science;(b) Accounting for Management;(c) Economics Principles for Business;(d) Financial Theory and Financial Markets;(e) Corporate Finance;(f) Marketing Management;(g) Ethics, Governance and Sustainability;(h) Personal Financetogether with the following 8 specific courses:(i) COML 5009 Commercial Law;(j) BANK 5013 Investment Management:(k) BANK 5042 Introduction to Financial Planning G;(l) BANK 5045 Superannuation G;(m) BANK 5044 Risk Management & Insurance G;(n) BANK 5041 Estate Planning G;(o) BANK 5040 Applied Financial Planning;(p) ACCT 5017 Taxation. |
|  | ***University of Southern Queensland*** |  |  |
| 101 | Master of Business (Personal Financial Planning Specialisation). | between 1 January 2004 and 30 November 2011. | N/A |
| 102 | Master of Business (Personal Financial Planning).Note: This course was previously Master of Personal Financial Planning. | during and after Semester 2, 2002 and before the end of Semester 2, 2012. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN5412 Financial Planning;(b) FIN5414 Managed Investments;(c) FIN5415 Superannuation and Retirement Planning;(d) FIN5416 Insurance Markets and Products;(e) FIN8102 Global Financial Markets;(f) FIN8103 Investment Management Strategies;\*(g) FIN8107 Financial Risk Management;(h) LAW5201 / LAW5206 Commercial Law;\*(i) LAW5230 Taxation Law;(j) ACC5502 Accounting for Managers.\* Elective courses of study. |
| 103 | Master of Business Administration (Personal Financial Planning). | during and after Semester 2, 2008 and before the end of Semester 2, 2011. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN5412 Financial Planning;(b) FIN5414Managed Investments;(c) FIN5415 Superannuation and Retirement Planning;(d) FIN5416 Insurance Markets and Products;(e) LAW5230 Taxation Law;\*(f) LAW5503 Australian Law and Business;(g) ACC5502 Accounting for Managers. \* Elective course of study. |
| 104 | Master of Professional Accounting (Personal Financial Planning). | during and after Semester 2, 2008 and before the end of Semester 2, 2011. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN5412 Financial Planning;(b) FIN5414 Managed Investments;(c) FIN5415 Superannuation and Retirement Planning;(d) FIN5416 Insurance Markets and Products;(e) LAW5201 Commercial Law;(f) LAW5206 Corporations Law;(g) LAW5230 Law;(h) ACC5202 Accounting. |
|  | ***University of the Sunshine Coast*** |  |  |
| 105 | Master of Financial Planning. | between 1 January 2000 and 30 November 2011. | N/A |
| 106 | Master of Financial Planning. | during or after Semester 1, 2001 and before the end of Semester 2, 2011. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) FIN710 Superannuation and Retirement Planning;(b) BUS704 Corporate Finance;(c) FIN712 Taxation Planning and Estate Planning;(d) FIN711 Financial Plan Development, Consulting and Negotiation;(e) FIN720 Financial and Investment Planning;(f) FIN721 Risk Management and Insurance Planning. |
|  | ***Western Sydney University*** |  |  |
| 107 | Each of the following:(a) Master of Commerce (Financial Planning);(b) Master of Financial Planning. | at any time after 1 January 2015. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) 200866 Principles of Financial Planning;(b) 200870 Insurance and Risk Management;(c) 200868 Investment Planning;(d) 200867 Superannuation;(e) 200869 Principles of Taxation;(f) 200432 Commercial Law;(g) 200871 Planning for Retirement;(h) Research Project or Internship. |
| 108 | Master of Commerce (Financial Planning). | during or after 1996 and before 31 December 2014. | N/A |
| 109 | Master of Stockbroking and Financial Advising. | during or after May 2018 and before the end of May 2021. | The relevant provider completed or completes the following courses of study as part of the degree program:(a) 200986 Financial Products and Markets;(b) 200987 Financial Adviser Communication Skills;(c) 200426 Corporate Finance;(d) 200432 Commercial Law;(e) 200867 Superannuation;(f) 200867 Principles of Taxation;(g) 200870 Insurance and Risk Management;(h) 201002 Estate Planning;(i) 51168 Funds Management and Portfolio Selection;(j) 51212 Security Analysis and Portfolio Theory;(k) either:(i) 200872 Contemporary Issues in Taxation; or(ii) 511698 Derivatives;(l) 200960 Statement of Advice Research Project;(m)51168 Funds Management and Portfolio Selection. |
| 110 | Master of Financial Planning | from December 2018 | The relevant provider completed or completes the following courses of study as part of the degree program:(a) Communication and Ethics for Financial Planners;(b) Commercial law;(c) Principles of Financial Planning;(d) Investment Planning;(e) Principles of Taxation;(f) Superannuation;(g) Insurance and Risk Management;(h) Planning for Retirement;(i) Funds Management and portfolio Selection;(j) Contemporary issues in taxation;(k) Financial Planning Research Project;(l) Statement of Advice Research Project. |
|  | ***Financial Services Institute of Australasia***(formerly Securities Institute Australia) |  |  |
| 111 | Graduate Diploma of Financial Planning. | at any time. | The course was completed by 31 December 2008. |