



ASIC
Australian Securities &
Investments Commission

ASIC (Fees—Complexity Criteria) Amendment Instrument 2019/130

I, Grant Moodie, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 26 March 2019

Grant Moodie

Contents

Part 1—Preliminary	3
1 Name of legislative instrument	3
2 Commencement	3
3 Authority	3
4 Schedules	3
Schedule 1—Amendments	4
<i>ASIC (Fees—Complexity Criteria) Instrument 2018/578</i>	4

Part 1—Preliminary

1 Name of legislative instrument

This is the *ASIC (Fees—Complexity Criteria) Amendment Instrument 2019/130*.

2 Commencement

This instrument commences on the later of:

- (a) 1 April 2019; and
- (b) the day after it is registered on the Federal Register of Legislation.

Note: The register may be accessed at www.legislation.gov.au.

3 Authority

This instrument is made under subsection 5A(4) of the *Corporations (Fees) Act 2001*.

4 Schedules

Each instrument that is specified in a Schedule to this instrument is amended as set out in the applicable items in the Schedule.

Schedule 1—Amendments

ASIC (Fees—Complexity Criteria) Instrument 2018/578

1 Part 2

At the end, add:

10 Application to impose, vary or revoke conditions on an Australian market licence

High complexity

- (1) The lodgment of an application, under subsection 796A(2) of the Act, to impose, vary or revoke conditions on an Australian market licence, is of high complexity if the assessment of the application requires:
 - (a) a significant level of expertise, or a significant amount of time, to assess the matters referred to in subsection 798A(2) of the Act; or
 - (b) the development of significant new policy in relation to Australian market licences.

Medium complexity

- (2) The lodgment of an application, under subsection 796A(2) of the Act, to impose, vary or revoke conditions on an Australian market licence, is of medium complexity if the assessment of the application requires:
 - (a) a moderate level of expertise, or a moderate amount of time, to assess the matters referred to in subsection 798A(2) of the Act; or
 - (b) the development of new policy in relation to Australian market licences.

Low complexity

- (3) The lodgment of an application, under subsection 796A(2) of the Act, to impose, vary or revoke conditions on an Australian market licence, is of low complexity if the lodgment of the application is of neither high nor medium complexity.

11 Application to impose, vary or revoke conditions on an Australian CS facility licence

High complexity

- (1) The lodgment of an application, under subsection 825A(2) of the Act, to impose, vary or revoke conditions on an Australian CS facility licence, is of high complexity if the assessment of the application requires:
 - (a) a significant level of expertise, or a significant amount of time, to assess the matters referred to in subsection 827A(2) of the Act; or
 - (b) the development of significant new policy in relation to Australian CS facility licences.

Medium complexity

- (2) The lodgment of an application, under subsection 825A(2) of the Act, to impose, vary or revoke conditions on an Australian CS facility licence, is of medium complexity if the assessment of the application requires:
 - (a) a moderate level of expertise, or a moderate amount of time, to assess the matters referred to in subsection 827A(2) of the Act; or
 - (b) the development of new policy in relation to Australian CS facility licences.

Low complexity

- (3) The lodgment of an application, under subsection 825A(2) of the Act, to impose, vary or revoke conditions, on an Australian CS facility licence, is of low complexity if the lodgment of the application is of neither high nor medium complexity.