



**ASIC**  
Australian Securities &  
Investments Commission

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## **ASIC Market Integrity Rules (Securities Markets and other ASIC-Made Rules) Amendment Instrument 2022/248**

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I, Calissa Aldridge, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 1 April 2022

Calissa Aldridge

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## **Part 1—Preliminary**

### **1 Name of legislative instrument**

This is the *ASIC Market Integrity Rules (Securities Markets and other ASIC-Made Rules) Amendment Instrument 2022/248*.

### **2 Commencement**

This instrument commences on the day after it is registered on the Federal Register of Legislation.

Note: The register may be accessed at [www.legislation.gov.au](http://www.legislation.gov.au).

### **3 Authority**

This instrument is made under section 798G of the *Corporations Act 2001*.

### **4 Schedules**

Each instrument that is specified in a Schedule to this instrument is amended or repealed as set out in the applicable items in the Schedule concerned, and any other item in a Schedule to this instrument has effect according to its terms.

## Schedule 1—Amendments

### *ASIC Market Integrity Rules (Securities Markets and other ASIC-Made Rules) Amendment Instrument 2022/117*

**1 Item 6 of Schedule 1 (paragraph 1.1.8(1)(t))**

Omit “paragraph 7.1.1.(4)(a)”, substitute “paragraph 7.1.1(4)(a)”.

**2 Item 8 of Schedule 2 (paragraph 2.2.3(2)(b))**

- (a) Omit “(ii)”, substitute “(i)”;
- (b) Omit “(iii)”, substitute “(ii)”;
- (c) Omit “(iv)”, substitute “(iii)”;
- (d) Omit “(v)” substitute “(iv)”.

**3 Item 10 of Schedule 2 (heading)**

Repeal the heading, substitute “Rule 3.5.3”.

**4 Item 10 of Schedule 2**

Omit:

“Insert:

“(2) The Market Participant must keep a record of the identity of the authoriser, and the date and time of the authorisation.””

Substitute:

“Repeal the rule, substitute:

**3.5.3 Client authorisation**

(1) Before executing an Exchange For Physical Order on behalf of a Client, a Market Participant must be authorised by the Client to do so either specifically or generally.

(2) The Market Participant must keep a record of the identity of the authoriser, and the date and time of the authorisation.”

**5 Item 12 of Schedule 2 (paragraph 4.4.1(2)(b))**

- (a) Omit “(ii)”, substitute “(i)”;
- (b) Omit “(iii)”, substitute “(ii)”;
- (c) Omit “(iv)”, substitute “(iii)”;
- (d) Omit “(v)” substitute “(iv)”.