

**Australian Prudential Regulation Authority (confidentiality) determination No. 3 of 2023**

Information provided by financial sector entities under relevant reporting documents

*Australian Prudential Regulation Authority Act 1998*

I, Adam Cagliarini, delegate of APRA, under paragraph 57(2)(b) of the *Australian Prudential Regulation Authority Act 1998* (the Act) determine all or a specified part of the reporting documents of a kind specified in Part 1, Part 2 or Part 3 of the Schedule do not contain confidential information.

This instrument commences on the date of registration on the Federal Register of Legislation.

*Note:* The effect of this instrument is that the non-confidential information may be published under subsection 56(5C) of the Act.

Dated: 31 July 2023

Adam Cagliarini

General Manager

Macro and Industry Insights

**Interpretation**

In this determination

***APRA*** means the Australian Prudential Regulation Authority.

***MySuper product*** means a MySuper product within the meaning given in section 10(1) of the *Superannuation Industry (Supervision) Act 1993*.

***reporting document*** means a reporting document within the meaning of section 13 of the *Financial Sector (Collection of Data) Act 2001.*

**Schedule**

**Part 1 Reporting documents covered by this determination in their entirety**

Part 1 of the Schedule of this determination applies to all of the information in the following reporting forms given to APRA by a financial sector entity where a period of at least 14 days has elapsed from the date the financial sector entity was required to provide the information under the reporting standard containing the reporting forms:

| **Reporting standard** | **Reporting form**  |
| --- | --- |
| SRS 251.0 Insurance  | SRF 251.0 Insurance arrangements  |
| SRF 251.1 Insurance coverage |
| SRF 251.2 Insurance payments |
| SRF 251.3 Insurance premiums |
| SRS 611.0 Member Accounts  | SRF 611.0 Member Accounts |
| SRS 705.0 Components of net return  | SRF 705.0 Components of Net Return |
| SRS 706.0 Fees and Costs  | SRF 706.0 Fees and Costs Disclosed |

**Part 2 Reporting documents covered by this determination in part**

Part 2 of the Schedule of this determination applies to information in the following parts of reporting forms given to APRA by a financial sector entity where a period of at least 14 days has elapsed from the date the financial sector entity was required to provide the information under the reporting standards.

***Parts of reporting forms covered by this determination***

Specific parts of reporting forms to which this determination does, and does not apply, is specified below:

**SRS 550.0 Asset Allocation**

| **Reporting form, table and columns** | **Classifications covered by this determination**  | **Classifications not covered by this determination**  |
| --- | --- | --- |
| SRF 550.0 table 1 (all columns) | All | None |
| SRF 550.0 table 2, columns 1-6 | All | None |
| SRF 550.0 table 2, column 7 | 1. Fixed Income Investment Grade
2. Fixed Income Non Investment Grade
3. Fixed Income Development
4. Fixed Income Established
5. Not Applicable
6. Not Available
 | 1. Equity Listed Large Cap
2. Equity Listed Mid Cap
3. Equity Listed Small Cap
4. Equity Listed Micro Cap
5. Equity Unlisted Development Or Early Stage
6. Equity Unlisted Established Or Late Stage
7. Property Development
8. Property Established
9. Infrastructure Development
10. Infrastructure Established
11. Not Applicable
12. Not Available
 |
| SRF 550.0 table 2, column 8 | 1. Cash Cash At Bank
2. Cash Term Deposit
3. Cash Short Term Bank Bills Or Securities
4. Cash Cash Management Trust
5. Cash Foreign Exchange Derivative
6. Contracts
7. Cash Offset Derivatives
8. Fixed Income Bonds Government Coupon
9. Fixed Income Bonds Government Inflation-linked
10. Fixed Income Bonds Government Other
11. Fixed Income Bonds Corporate
12. Fixed Income Asset Backed Commercial Mortgage
13. Fixed Income Asset Backed Residential Mortgage
14. Fixed Income Asset Backed Other
15. Fixed Income Structured Non-Asset Backed
16. Fixed Income Mezzanine Debt
17. Fixed Income Private Debt
18. Fixed Income Enhanced Cash
19. Fixed Income Other
20. Fixed Income Term Deposit
21. Fixed Income Bank Bills Or Securities
22. Alternatives Commodities
23. Alternatives Other
24. Not Applicable
25. Not Available
 | 1. Equity Listed Active
2. Equity Listed Passive
3. Equity Unlisted Leveraged Buyout
4. Equity Unlisted Growth Equity
5. Equity Unlisted Venture Capital
6. Equity Unlisted Special Situations
7. Equity Unlisted Other
8. Property Commercial
9. Property Industrial
10. Property Retail
11. Property Residential
12. Property Agricultural
13. Property Other
14. Property Diversified
15. Infrastructure Utilities
16. Infrastructure Airports
17. Infrastructure Toll Roads
18. Infrastructure Ports
19. Infrastructure Railways
20. Infrastructure Social Availability
21. Infrastructure Energy Assets
22. Infrastructure Communications
23. Infrastructure Other
24. Infrastructure Diversified
25. Alternatives Activist
26. Alternatives Convertible Bond Arbitrage
27. Alternatives Credit Distressed
28. Alternatives Long Short Credit
29. Alternatives Long Short Equity
30. Alternatives Equity Market Neutral
31. Alternatives Event Driven
32. Alternatives Fixed Income Arbitrage
33. Alternatives Global Macro
34. Alternatives Managed Futures Or Commodity Trading Advisor
35. Alternatives Risk Arbitrage
36. Alternatives Volatility Arbitrage
37. Alternatives Multi Strategy
38. Alternatives Insurance Linked Securities
39. Alternatives Real Return Multi Asset Strategies
40. Not Applicable
41. Not Available
 |
| SRF 550.0 table 2, column 9 | 1. Fixed Income Short Term
2. Fixed Income Long Term
3. Not Applicable
4. Not Available
 | 1. Infrastructure Government
2. Infrastructure Non Government
3. Infrastructure Public Private
4. Partnership
5. Not Applicable
6. Not Available
 |
| SRF 550.0 table 2, columns 10-17  | All | None |
| SRF 550.1 table 1, column 1-5 | All | None |
| SRF 550.1 table 1, column 6  | 1. Fixed Income Investment Grade
2. Fixed Income Non Investment Grade
3. Fixed Income Development
4. Fixed Income Established
 | 1. Equity Listed Large Cap
2. Equity Listed Mid Cap
3. Equity Listed Small Cap
4. Equity Listed Micro Cap
5. Equity Unlisted Development Or Early Stage
6. Equity Unlisted Established Or Late Stage
7. Property Development
8. Property Established
9. Infrastructure Development
10. Infrastructure Established
11. Not Applicable
12. Not Available
 |
| SRF 550.1 table 1, column 7 | 1. Cash Cash At Bank
2. Cash Term Deposit
3. Cash Short Term Bank Bills Or Securities
4. Cash Cash Management Trust
5. Cash Foreign Exchange Derivative Contracts
6. Cash Offset Derivatives
7. Fixed Income Bonds Government Coupon
8. Fixed Income Bonds Government Inflation-linked
9. Fixed Income Bonds Government Other
10. Fixed Income Bonds Corporate
11. Fixed Income Asset Backed Commercial Mortgage
12. Fixed Income Asset Backed Residential Mortgage
13. Fixed Income Asset Backed Other
14. Fixed Income Structured Non-Asset Backed
15. Fixed Income Mezzanine Debt
16. Fixed Income Private Debt
17. Fixed Income Enhanced Cash
18. Fixed Income Other
19. Fixed Income Term Deposit
20. Fixed Income Bank Bills Or Securities
21. Alternatives Commodities
22. Alternatives Other
 | 1. Equity Listed Active
2. Equity Listed Passive
3. Equity Unlisted Leveraged Buyout
4. Equity Unlisted Growth Equity
5. Equity Unlisted Venture Capital
6. Equity Unlisted Special Situations
7. Equity Unlisted Other
8. Property Commercial
9. Property Industrial
10. Property Retail
11. Property Residential
12. Property Agricultural
13. Property Other
14. Property Divsersified
15. Infrastructure Utilities
16. Infrastructure Airports
17. Infrastructure Toll Roads
18. Infrastructure Ports
19. Infrastructure Railways
20. Infrastructure Social Availability
21. Infrastructure Energy Assets
22. Infrastructure Communications
23. Infrastructure Other
24. Infrastructure Diversified
25. Alternatives Activist
26. Alternatives Convertible Bond Arbitrage
27. Alternatives Credit Distressed
28. Alternatives Long Short Credit
29. Alternatives Long Short Equity
30. Alternatives Equity Market Neutral
31. Alternatives Event Driven
32. Alternatives Fixed Income Arbitrage
33. Alternatives Global Macro
34. Alternatives Managed Futures Or Commodity Trading Advisor
35. Alternatives Risk Arbitrage
36. Alternatives Volatility Arbitrage
37. Alternatives Multi Strategy
38. Alternatives Insurance Linked Securities
39. Alternatives Real Return Multi Asset Strategies
40. Not Applicable
 |
| SRF 550.1 table 1 column 8 | 1. Fixed Income Short Term
2. Fixed Income Long Term
3. Not Applicable
4. Not Available
 | 1. Infrastructure Government
2. Infrastructure Non Government
3. Infrastructure Public Private
4. Partnership
5. Not Applicable
6. Not Available
 |
| SRF 550.1 table 1, column 9-15 | All | None |
| SRF 550.1, table 2 (all columns) | All | None |
| SRF 550.2,Table 1, column 8 | All | None |
| SFR 550.2, table 1,Column 12 | All  | None |
| SFR 550.2, table 2.1(all columns) | All  | None |
| SFR 550.2, table 2.2(all columns) | All  | None |

**SRS 605.0 RSE Structure**

| **Reporting form and table** | **Classifications covered by this determination**  | **Classifications not covered by this determination**  |
| --- | --- | --- |
| SRF 605.0 table 1 columns 1 – 19 | All | None |
| SRF 605.0 table 2 columns 1- 16 | All | None |
| SRF 605.0 table 3 columns 1 - 20 | All | None |
| SRF 605.0 table 4, columns 1 - 5  | All | None |
| SRF 605.0 table 4, columns 7 - 12 | All | None |

**SRS 606.0 RSE Profile**

| **Reporting form and table** | **Classifications covered by this determination**  | **Classifications not covered by this determination**  |
| --- | --- | --- |
| SRF 606.0  | All | None |
| SRF 606.1  | None | All |

**SRS 705.1 Investment Performance and Objectives**

| **Reporting form and table** | **Classifications covered by this determination**  | **Classifications not covered by this determination**  |
| --- | --- | --- |
| SRF 705.1 table 1 (all columns) | All | None |
| SRF 705.1. table 2, (all columns) | All | None |
| SRF 705.2 table 1, columns 1 - 4 | All | None |
| SRF 705.2 table 2, columns 1 - 4 | All | None |

**Part 3 Reporting documents covered by this determination in part – MySuper product**

Part 3 of the Schedule of this determination applies to information relating to a MySuper product in the following parts of reporting form SRF 705.1 given to APRA by a financial sector entity where a period of at least 14 days has elapsed from the date the financial sector entity was required to provide the information under the reporting standard containing the reporting form SRF 705.1:

**SRS 705.1 Investment Performance and Objectives**

| **Reporting form and table** | **Classifications covered by this determination**  | **Classifications not covered by this determination**  |
| --- | --- | --- |
| SRF 705.2 table 1, columns 5 – 6 | All where information relates to a My Super product  | None where information relates to a MySuper product |
| SRF 705.2 table 2, columns 5 – 6 | All where information relates to a MySuper product  | None where information relates to a MySuper product |