

## Explanatory Statement

Issued by the Authority of the Minister for Foreign Affairs

### *Autonomous Sanctions Regulations 2011*

#### *Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) Amendment (No. 1) Instrument 2023*

Autonomous sanctions are measures not involving the use of armed force which the Australian Government imposes as a matter of foreign policy in response to situations of international concern, including the violence perpetrated against the Libyan people and the violation of human rights by the former Qadhafi regime.

The *Autonomous Sanctions Regulations 2011* (**the Regulations**) make provision for, among other things, the proscription of persons or entities for autonomous sanctions, in relation to Libya. Regulation 6 of the Regulations enables the Minister for Foreign Affairs (**the Minister**) to designate a person or entity for targeted financial sanctions and/or declare a person for the purposes of a travel ban, in a range of circumstances, including if the Minister is satisfied (pursuant to item 5 of regulation 6, ‘**Libya criteria**’) that:

- the person was a close associate of the former Qadhafi regime; or
- the entity is under the control of one or more members of Muammar Qadhafi’s family.

The purpose of a designation is to subject the designated person or entity to targeted financial sanctions. There are two types of targeted financial sanctions under the Regulations:

- the designated person or entity becomes the object of the prohibition in regulation 14 (which prohibits directly or indirectly making an asset available to, or for the benefit of, a designated person or entity, other than as authorised by a permit granted under regulation 18); and/or
- an asset owned or controlled by a designated person or entity is a “controlled asset”, subject to the prohibition in regulation 15 (which prohibits a person who holds that asset from either using or dealing with that asset, or allowing it to be used or dealt with, or facilitating the use of or dealing with it, other than as authorised by a permit granted under regulation 18).

The purpose of a declaration is to prevent a person from travelling to, entering or remaining in Australia.

Designated and declared persons, and designated entities, in relation to Libya are listed in the *Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) List 2012* (**the 2012 List**).

Under subregulations 9(1) and (2) of the Regulations, designations and declarations that are declared to continue to have effect under subregulation 9(3) of the Regulations cease to have effect three years after the making of the most recent

declaration, unless the Minister declares they are to continue to have effect pursuant to subregulation 9(3) of the Regulations.

The *Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) Amendment (No. 1) Instrument 2023 (the 2023 Instrument)* gives effect to the following:

- The renewal of the designations and declarations (together referred to as ‘listings’) of 13 persons and 3 entities for targeted financial sanctions and travel bans under the Libya criteria in accordance with item 5 of regulation 6 of the Regulations. The Minister exercised their discretion to make the designations and declarations being satisfied that each of the persons and entities meet the Libya criteria.
- The listings of three deceased persons (Abdelmajid AL-GAOU, General Khaled TOHAMI and Sayyid Mohammed QADHAF AL-DAM) will lapse on the third anniversary of their latest listing (13 January 2021), as deceased persons are unable to be listed for targeted financial sanctions or travel bans.
- The listing of one person, Abdelhafiz ZLITNI will be allowed to lapse on the third anniversary of their latest listing (13 January 2021), on the basis that this designation and declaration does not require renewal, as the person is subject to United Nations Security Council sanctions.
- The listing of five entities will lapse on the third anniversary of their latest listing (13 January 2021), as these listings no longer satisfy the Libya criteria.

Details of the 2023 Instrument, which amends the 2012 List are set out at **Attachment A**.

The legal framework for the imposition of autonomous sanctions by Australia, of which the Regulations are part, was the subject of extensive consultation with governmental and non-governmental stakeholders when introduced. The Department of Foreign Affairs and Trade (DFAT) undertook public consultation in relation to the listings contained in the 2023 Instrument through its website. No submissions were received in response to this public consultation.

The 2023 Instrument is exempt from sunseting under table item 10B of section 12 of the *Legislation (Exemptions and Other Matters) Regulation 2015* on the basis that it is subject to a more stringent statutory review process than is set out in Part 4 of Chapter 3 of the *Legislation Act 2003*.

The Office of Impact Analysis (OIA) has advised that a Regulation Impact Statement is not required for listing instruments of this nature (OBPR22-02078).

*Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) Amendment (No. 1) Instrument 2023*

Section 1

The title of the instrument is the *Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) Amendment (No. 1) Instrument 2023 (the 2023 Instrument)*.

Section 2

Sections 1 to 4 and Part 1 of Schedule 1 of the 2023 Instrument commence on 12 January 2024.

Part 2 of Schedule 1 of the 2023 Instrument commences on 14 January 2024.

Subsection 2(2) is a technical provision that makes clear that any information inserted in column 3 of the table about the specific date of commencement is not part of the Instrument and can be inserted or edited at a later date.

Section 3

The 2023 Instrument is made under paragraphs 6(a) and 6(b), and subregulation 9(3) of the *Autonomous Sanctions Regulations 2011 (the Regulations)*.

Under subsection 33(3) of the *Acts Interpretation Act 1901*, where an Act confers a power to make, grant or issue any instrument of a legislative or administrative character (including rules, regulations or by laws), the power shall be construed as including a power exercisable in the like manner and subject to the like conditions (if any) to repeal, rescind, revoke, amend, or vary any such instrument. Subsection 33(3) of the *Acts Interpretation Act 1901* has been relied on, in conjunction with subregulation 9(3) of the Regulations, to update the information of some of the designated and declared persons and designated entities in the *Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) List 2012 (the 2012 List)*.

Section 4

Each instrument that is specified in a Schedule to the 2023 Instrument is amended or repealed as set out in the applicable items in the Schedule concerned, and any other item in a Schedule to the 2023 Instrument has effect according to its terms.

Schedule 1 – Amendments

Part 1 - Amendments

*Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) List 2012*

### Item 1

Item 1 is a technical amendment necessitated due to a change in the numbering of the Regulations. This amendment ensures that the relevant paragraph of the Regulations is referenced.

### Item 2

Item 2 is a technical amendment necessitated due to a change in the numbering of the Regulations. This amendment ensures that the relevant paragraph of the Regulations is referenced.

### Item 3

Item 3 contains the Minister for Foreign Affairs' (**the Minister**) declaration under subregulation 9(3) of the Regulations that the designations and declarations of 13 persons and designations of 3 entities under paragraphs 6(a) and 6(b) of the Regulations continue to have effect.

### Items 4 to 10

The persons listed in items 4 to 10 are designated by the Minister for targeted financial sanctions under paragraph 6(a) of the Regulations and declared by the Minister for the purposes of a travel ban under paragraph 6(b) of the Regulations. These listings are continued pursuant to subregulation 9(3) of the Regulations on the basis that each person meets the criteria mentioned in item 5 of regulation 6 of the Regulations (**the Libya criteria**).

### Items 11 and 12

The entities listed in items 11 and 12 are designated by the Minister for targeted financial sanctions under paragraph 6(a) of the Regulations. These listings are continued pursuant to subregulation 9(3) of the Regulations on the basis that each entity meets the Libya criteria.

## Part 2 – Repeals of spent provisions

### *Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) List 2012*

### Item 13

Item 13 repeals the listings of three persons (Abdelmajid AL-GAOU, General Khaled TOHAMI and Sayyid Mohammed QADHAF AL-DAM) listed in Part 1 of Schedule 1 of the 2012 List, as they are deceased.

It also repeals the listing of another person, Abdelhafiz ZLITNI listed in Part 1 of Schedule 1 of the 2012 List, as they are captured by United Nations Security Council sanctions under the Charter of the United Nations (Sanctions—Libya) Regulations 2011 (and therefore subject under Australian law to targeted financial sanctions and travel bans).

Item 14

Five entities will lapse under Item 14, on the basis that the entities no longer satisfy the Libya criteria.

## Statement of Compatibility with Human Rights

Prepared in accordance with Part 3 of the *Human Rights (Parliamentary Scrutiny) Act 2011*

*Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) Amendment (No. 1) Instrument 2023*

The *Autonomous Sanctions (Designated Persons and Entities and Declared Persons – Libya) Amendment (No. 1) Instrument 2023* (**the 2023 Instrument**) is compatible with the human rights and freedoms recognised or declared in the international instruments listed in section 3 of the *Human Rights (Parliamentary Scrutiny) Act 2011*.

Australia's autonomous sanctions framework imposes highly targeted measures in response to situations of international concern. This includes the violence perpetrated against the Libyan people and the violation of human rights by the former Qadhafi regime.

The Government considers that targeted financial sanctions and travel bans are an effective way to achieve its commitment to employing every strategy at our disposal towards upholding human rights, consistent with our values and with our interests.

The autonomous sanctions designations and declarations made by the 2023 Instrument pursue legitimate objectives and has appropriate safeguards in place to ensure that any limitation on human rights engaged by the imposition of sanctions is a reasonable, necessary and proportionate response to the situation of international concern, and do not affect particularly vulnerable groups. The Government keeps its sanctions frameworks under regular review, including in relation to whether more effective, less rights restrictive means are available to achieve similar foreign policy objectives.

The *Autonomous Sanctions Regulations 2011* (**the Regulations**) make provision for, among other things, the proscription of persons or entities for autonomous sanctions. The 2023 Instrument designates persons and entities for targeted financial sanctions and declares those persons for the purposes of travel bans. The Minister for Foreign Affairs (**the Minister**) made the designations and declarations being satisfied (pursuant to item 5 of regulation 6) that:

- the person was a close associate of the former Qadhafi regime; or
- the entity is under the control of one or more members of Muammar Qadhafi's family.

The human rights compatibility of the 2023 Instrument is addressed by reference to each of the human rights engaged below.

## Right to privacy

### Right

Article 17 of the International Covenant on Civil and Political Rights (**the ICCPR**) prohibits unlawful or arbitrary interferences with a person's privacy, family, home and correspondence.

The use of the term 'arbitrary' in the ICCPR means that any interferences with privacy must be in accordance with the provisions, aims and objectives of the ICCPR and should be reasonable in the individual circumstances. Arbitrariness connotes elements of injustice, unpredictability, unreasonableness, capriciousness and 'unproportionality'.<sup>1</sup>

### Permissible limitations

The 2023 Instrument is not an unlawful interference with an individual's right to privacy. Section 10 of the *Autonomous Sanctions Act 2011 (the Act)* permits regulations relating to, among other things: 'proscription of persons or entities (for specified purposes or more generally)'; and 'restriction or prevention of uses of, dealings with, and making available of, assets'. The designations and declarations continued in the 2023 Instrument were made pursuant to subregulation 9(3) of the Regulations, which provides that the Minister may, by legislative instrument, continue in effect a person's designation for targeted financial sanctions and/or a declaration for a travel ban.

The measures contained in the 2023 Instrument are not an arbitrary interference with an individual's right to privacy. An interference with privacy will not be arbitrary where it is reasonable, necessary and proportionate in the individual circumstances.

In designating an individual under the Regulations for targeted financial sanctions and/or declaring an individual for a travel ban, the Minister uses predictable, publicly available criteria. These criteria are designed to capture only those persons the Minister is satisfied are involved in situations of international concern, as set out in regulation 6 of the Regulations.

Accordingly, targeted financial sanctions and travel bans imposed by the Minister through the designation and declaration of specific individuals under the Regulations are reasonable, necessary and proportionate to the individual circumstances the sanctions are seeking to address. Any interference with the right to privacy created by the operation of the 2023 Instrument is not arbitrary or unlawful and is consistent with Australia's obligations under Article 17 of the ICCPR.

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<sup>1</sup> Manfred Nowak, *United Nations Covenant on Civil and Political Rights: CCPR Commentary* (NP Engel, 1993) 178.

## **Right to respect for the family**

### Right

The right to respect for the family is protected by Articles 17 and 23 of the ICCPR. It covers, among other things, the separation of family members under migration laws, and arbitrary or unlawful interferences with the family.

Limitations on the right to respect for the family under Articles 17 and 23 of the ICCPR will not violate those Articles if the measures in question are lawful and non-arbitrary. An interference with respect for the family will be consistent with the ICCPR where it is necessary and proportionate, in accordance with the provisions, aims and objectives of the ICCPR, and is reasonable in the individual circumstances.

### Permissible limitations

As set out above, the autonomous sanctions framework is authorised by domestic law and is not unlawful.

As the listing criteria in regulation 6 of the Regulations are drafted by reference to specific foreign countries, it is highly unlikely, as a practical matter, that a person declared for a travel ban will hold an Australian visa, usually reside in Australia and have immediate family also in Australia.

The Department of Foreign Affairs and Trade (**DFAT**) consults relevant agencies as appropriate in advance of a designation and declaration of a person with known connections to Australia to consider the possible impacts of the designation and declaration on any family members in Australia.

To the extent that the travel bans imposed pursuant to the 2023 Instrument engage and limit the right to respect for the family in a particular case, the Regulations provide sufficient flexibility to treat different cases differently. Under the Regulations, the Minister may waive the operation of a travel ban on the grounds that it would be either: (a) in the national interest; or (b) on humanitarian grounds. This provides a mechanism to address circumstances in which issues such as the possible separation of family members in Australia are involved. In addition, this decision may be judicially reviewed. Finally, were such a separation to take place, for the reasons outlined in relation to Article 17 above, such a separation would be reasonable, necessary, proportionate and justified in achieving the objective of the 2023 Instrument.

Accordingly, any interference with the right to respect for the family created by the operation of the 2023 Instrument is not unlawful or arbitrary and is consistent with Australia's obligations under Articles 17 and 23 of the ICCPR.



## **Right to an adequate standard of living**

### Right

The right to an adequate standard of living is contained in Article 11(1) of the International Covenant on Economic, Social and Cultural Rights (ICESCR) and requires States to ensure the availability and accessibility of the resources that are essential to the realisation of the right: namely, food, water, and housing.

Article 4 of the ICESCR provides that this right may be subject to such limitations ‘as are determined by law only in so far as this may be compatible with the nature of these rights and solely for the purpose of promoting the general welfare in a democratic society’. To be consistent with the ICESCR, limitations must be proportionate.

### Permissible limitations

Any limitation on the enjoyment of Article 11(1), to the extent that it occurs, is reasonable and necessary to achieve the objective of the 2023 Instrument and is proportionate due to the targeted nature of the listings. The Regulations also provide sufficient flexibility to treat different cases differently by allowing for any adverse impacts on family members as a consequence of targeted financial sanctions to be mitigated. The Regulations provide for the payment of basic expenses (among others) in certain circumstances. The objective of the ‘basic expenses exemption’ in regulation 20 of the Regulations is, in part, to enable the Australian Government to administer the sanctions framework in a manner compatible with relevant human rights standards.

The permit process is a flexible and effective safeguard on any limitation to the enjoyment of Article 11(1).

## **Right to freedom of movement**

### Right

Article 12 of the ICCPR protects the right to freedom of movement, which includes a right to leave Australia, as well as the right to enter, remain, or return to one’s ‘own country’.

The right to freedom of movement may be restricted under domestic law on any of the grounds in Article 12(3) of the ICCPR, namely national security, public order, public health or morals or the rights and freedoms of others. Any limitation on the enjoyment of the right also needs to be reasonable, necessary and proportionate.

### Permissible limitations

As the listing criteria in regulation 6 of the Regulations are drafted by reference to specific foreign countries, it is highly unlikely, as a practical matter, that a person declared for a travel ban would be an Australian citizen, or have spent such lengths of time in Australia, such that Australia could be considered their ‘own country’.

Furthermore, travel bans – which are a power to refuse a visa and to cancel a visa – do not apply to Australian citizens.

To the extent that Article 12(4) is engaged in an individual case, such that a person listed in the 2023 Instrument is prevented from entering Australia as their ‘own country’, the imposition of the travel ban would be justified.

As set out above in relation to Article 17 of the ICCPR, travel bans are a reasonable, necessary and proportionate means of achieving the legitimate objectives of Australia’s autonomous sanctions framework. Travel bans are reasonable because they are only imposed on persons who the Minister is satisfied contribute to or have contributed to situations of international concern.

Preventing a person who, for example, is a close associate of the Qadhafi regime, from travelling to, entering or remaining in Australia through operation of the 2023 Instrument, is a reasonable means to achieve the legitimate foreign policy objective of signalling Australia’s concerns about the actions of the former Qadhafi regime. Australia’s practice in this respect is consistent with that of other countries such as the United States, Canada, New Zealand, the European Union, and the United Kingdom.

The Minister may also waive the operation of a declaration that was made for the purpose of preventing a person from travelling to, entering or remaining in Australia, on the grounds that it would be in the national interest, or on humanitarian grounds. This decision is subject to natural justice requirements, and may be judicially reviewed.

## **Non refoulement**

### Right

The obligations relating to the prohibition on torture and other cruel, inhuman or degrading treatment or punishment under Article 3 of the Convention Against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment (**the CAT**) and Article 7 of the ICCPR, as well as Article 6 of the ICCPR on the right to life and prohibition on arbitrary deprivation of life, are engaged by the travel restrictions in the 2023 Instrument. There is no permissible derogation from these implied or express non-refoulement obligations.

### Permissible limitations

To the extent that the travel bans imposed pursuant to the 2023 Instrument engage Australia’s non-refoulement obligations, the Regulations allow the Minister to waive the operation of a travel ban on the grounds that it would be either: (a) in the national interest; or (b) on humanitarian grounds.

A travel ban may lead to the cancellation of a visa held by a non-citizen lawfully in Australia, which can lead to removal under section 198 of the *Migration Act 1958*. Australia will continue to meet its non-refoulement obligations through mechanisms prior to the person becoming available for removal under the *Migration Act 1958*,

including through the protection visa application process, and through the use of the Minister for Home Affairs' personal powers in the *Migration Act 1958*.

The 2023 Instrument is consistent with Australia's international non-refoulement obligations as, together with the Minister's powers to revoke a declaration or waive its operation in an individual case, non-refoulement obligations are considered prior to a person becoming available for removal under the *Migration Act 1958*. A person must not be removed from Australia to another country if there is a real risk that the person may be subjected to arbitrary deprivation of life, the death penalty, torture, cruel or inhuman treatment or punishment, or degrading treatment or punishment.

## **Right to equality and non-discrimination**

### Right

The right to equality and non-discrimination under Article 26 of the ICCPR provides that everyone is entitled to enjoy their rights without discrimination of any kind, and that people are equal before the law and are entitled without discrimination to the equal and non-discriminatory protection of the law.

Differential treatment (including the differential effect of a measure that is neutral on its face) will not constitute unlawful discrimination if the differential treatment is based on reasonable and objective criteria, serves a legitimate objective, and is a proportionate means of achieving that objective.

### Permissible limitations

Any differential treatment of people as a consequence of the application of the 2023 Instrument does not amount to discrimination pursuant to Article 26 of the ICCPR.

The criteria set out in regulation 6 of the Regulations are reasonable and objective. They are reasonable insofar as they list only those States and activities which the Government has specifically determined give rise to situations of international concern. They are objective, as they provide a clear, consistent and objectively-verifiable reference point by which the Minister is able to make a designation or declaration. The Regulations serve a legitimate objective, as discussed above.

To the extent that the measures result in a differential impact on persons from particular countries, this is both proportionate and justifiable. Country-specific sanctions will inevitably impact persons from certain countries more than others, as they are used as a tool of foreign policy to facilitate the conduct of Australia's international relations with particular countries. In this case, the measures will predominately impact persons of Libyan origin or nationality.

Denying access to international travel and the international financial system to certain designated individuals is a highly targeted, justified and less rights-restrictive means of achieving the aims of the Regulations, including in a context where other conventional mechanisms are unavailable.

While these measures may impact individuals of certain nationalities and national origins more than others, there is no information to support the view that affected groups are vulnerable. Rather, the individuals designated in the 2023 Instrument are persons the Minister is satisfied are involved in activities that contribute to or have contributed to situations of international concern. Further, there are several safeguards, such as the availability of judicial review and regular review processes in place, to ensure that any limitation is proportionate to the objective being sought.