



**ASIC**  
Australian Securities &  
Investments Commission

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## **ASIC (Supervisory Cost Recovery Levy— Annual Determination) Instrument 2024/822**

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I, Peter Dunlop, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 7 November 2024

Peter Dunlop

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## Part 1—Preliminary

### 1 Name of legislative instrument

This is the *ASIC (Supervisory Cost Recovery Levy—Annual Determination) Instrument 2024/822*.

### 2 Commencement and date of effect

- (1) This instrument commences on the day after it is registered on the Federal Register of Legislation.

Note: The register may be accessed at [www.legislation.gov.au](http://www.legislation.gov.au).

- (2) This instrument takes effect as follows:
- (a) if subsection 11(3) of *ASIC Supervisory Cost Recovery Levy Act 2017* applies—the instrument takes effect on the day specified in paragraph 11(3)(a) of that Act;
  - (b) if subsection 11(4) of *ASIC Supervisory Cost Recovery Levy Act 2017* applies—the remaining provisions of the instrument take effect on the day specified in paragraph 11(4)(a) of that Act.

Note 1: Section 11 of the *ASIC Supervisory Cost Recovery Levy Act 2017* contains special provisions dealing with the disallowance and taking effect of instruments of this kind.

Note 2: Subsection 9(9) of the *ASIC Supervisory Cost Recovery Levy Act 2017* has the effect that the instrument can have retrospective application before the day it is registered on the Federal Register of Legislation. The register may be accessed at [www.legislation.gov.au](http://www.legislation.gov.au).

### 3 Authority

This instrument is made under section 73 of the *ASIC Supervisory Cost Recovery Levy Regulations 2017*.

### 4 Definitions

In this instrument:

- (a) **Regulations** means the *ASIC Supervisory Cost Recovery Levy Regulations 2017*; and
- (b) unless the contrary intention appears, an expression that:
  - (i) is used, but not defined, in this instrument; and
  - (ii) is defined in the Regulations;

has the same meaning in this instrument as in the Regulations.

## Part 2—Determination

### 5 Applicable financial year

This determination applies to the 2023-24 financial year.

### 6 Sub-sector population

For the purposes of the definition of *sub-sector population* in subsection 4(1) of the Regulations, the *sub-sector population* for the sub-sector specified in column 2 of the table for the financial year is specified in column 3 of the table.

Column 1 Item	Column 2 Sub-sectors	Column 3 Sub-sector population
1	Auditors of disclosing entities	121
2	Australian derivative trade repository operators	2
2A	Benchmark administrators	2
2B	Claims handling and settling services providers	344
3	Corporate advisors	290
4	Credit intermediaries	4,137
5	Credit providers	1,013
6	Credit rating agencies	6
7	Custodians	1,423
8	Deposit product providers	178
8A	Established specialised market operators	23
9	Exempt CS facility operators	4
10	Exempt market operators	3
11	Insurance product distributors	3,588
12	Insurance product providers	102
13	Large futures exchange operators	1
14	Large futures exchange participants	33
15	Large proprietary companies	11,377
16	Large securities exchange operators	2
17	Large securities exchange participants	60
18	Licensees that provide only general advice to retail or wholesale clients	1,122
19	Licensees that provide personal advice on relevant financial products to retail clients	2,680
20	Licensees that provide personal advice to only wholesale clients	1,991
21	Licensees that provide personal advice to retail clients on only products that are not relevant financial products	565
22	Listed corporations	2,097
23	Managed discretionary account providers	252
24	Margin lenders	25
24A	New specialised market operators	1
25	Operators of investor directed portfolio services	76
25A	Operators of notified foreign passport funds and regulated former notified funds	0
26	Overseas market operators	32
27	Over-the-counter traders	55
28	Payment product providers	651
29	Public companies (unlisted)	14,725
30	Registered company auditors	3,177
31	Registered liquidators	671
32	Responsible entities	416
33	Retail over-the-counter derivatives issuers	80
34	Risk management product providers	85

Column 1	Column 2	Column 3
Item	Sub-sectors	Sub-sector population
35	Securities dealers	1,220
36	Small and medium amount credit providers	196
38	Small futures exchange operators	1
39	Small securities exchange operators	2
40	Small securities exchange operators with self-listing function only	1
41	Superannuation trustees	78
42	Tier 1 clearing and settlement facility operators	4
43	Tier 2 clearing and settlement facility operators	1
44	Tier 3 clearing and settlement facility operators	1
45	Tier 4 clearing and settlement facility operators	1
46	Traditional trustee company service providers	11
47	Wholesale electricity dealers	43
48	Wholesale trustees	1,961

## 7 Sub-sector metrics—basic levy component and graduated levy component

For the purposes of the definitions of:

- (a) *basic levy component* in subsection 9(1) of the Regulations; and
- (b) *graduated levy component* in subsection 10(1) of the Regulations;

the applicable *sub-sector metric* for the sub-sector specified in column 2 of the table for the financial year is specified in column 3 of the table.

Column 1	Column 2	Column 3	
		Sub-sector metric	
Item	Sub-sectors	Basic levy component (as applicable)	Graduated levy component (as applicable)
1	Auditors of disclosing entities	689,872,237	
2	Australian derivative trade repository operators	601	
2A	Benchmark administrators	732	
2B	Claims handling and settling services providers		6,750,217
3	Corporate advisors		3,256,084,328
4	Credit intermediaries		44,381
5	Credit providers		744,468,316,592
6	Credit rating agencies		1,098
7	Custodians	1,423	
8	Deposit product providers		3,091,091,466,997
8A	Established specialised market operators	8,418	
9	Exempt CS facility operators	796	
10	Exempt market operators	763	
11	Insurance product distributors	3,588	
12	Insurance product providers		63,925,817,224
13	Large futures exchange operators	366	
14	Large futures exchange participants		279,867,952 (messages)

Column 1	Column 2	Column 3	
Item	Sub-sectors	Sub-sector metric	
		Basic levy component (as applicable)	Graduated levy component (as applicable)
			359,065,446 (lots)
15	Large proprietary companies	11,377	
16	Large securities exchange operators		1,932,929,257,226
17	Large securities exchange participants		5,110,438,104 (messages) 982,028,086 (transactions)
18	Licensees that provide only general advice to retail or wholesale clients	1,122	
19	Licensees that provide personal advice on relevant financial products to retail clients		15,233
20	Licensees that provide personal advice to only wholesale clients	1,991	
21	Licensees that provide personal advice to retail clients on only products that are not relevant financial products	201,301	
22	Listed corporations		1,608,459,018,344
23	Managed discretionary account providers	86,293	
24	Margin lenders	8,729	
24A	New specialised market operators	366	
25	Operators of investor directed portfolio services		867,584,868
25A	Operators of notified foreign passport funds and regulated former notified funds		
26	Overseas market operators	10,882	
27	Over-the-counter traders		1,944
28	Payment product providers		4,947,585,594
29	Public companies (unlisted)	14,725	
30	Registered company auditors	3,177	
31	Registered liquidators		61,241
32	Responsible entities		1,629,962,837,298
33	Retail over-the-counter derivatives issuers	27,993	
34	Risk management product providers	29,861	
35	Securities dealers		157,863,936,732
36	Small and medium amount credit providers	1,323,434,205	
38	Small futures exchange operators	366	
39	Small securities exchange operators	732	
40	Small securities exchange operators with self-listing function only	366	
41	Superannuation trustees		2,463,340,033,354

Column 1	Column 2	Column 3	
Item	Sub-sectors	Sub-sector metric	
		Basic levy component (as applicable)	Graduated levy component (as applicable)
42	Tier 1 clearing and settlement facility operators	1,464	
43	Tier 2 clearing and settlement facility operators	366	
44	Tier 3 clearing and settlement facility operators	366	
45	Tier 4 clearing and settlement facility operators	366	
46	Traditional trustee company service providers	4,026	
47	Wholesale electricity dealers	43	
48	Wholesale trustees		993,306,731,514